**25 April 2019**

**Glossary and Coding Decisions for the Portfolio Evaluation on Women’s Empowerment Collectives (WECs)**

In this document we outline the coding approach for the Portfolio Evaluation on Women’s Empowerment Collectives (WECs). ***Table 1*** outlines the coding framework and provides a glossary of terms used with additional information on coding decisions.

**Table 1.** Codebook for WEC Grant Coding

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| --- | --- | --- |
| **Column** | **Options** | **Additional Information and Definitions** |
| **Grantee Name** |  |  |
| **Grantee Type** | **Options:** NGO, NGO-government collaboration, Bilateral Organization, Multilateral Organization, Academia, Non-academic Research Organization, Private, Public-Private Partnerships |  |
| **Beneficiary Region** |  | Taken from the World Bank Classification |
| **Beneficiary Countries** |  | As specified in the proposal |
| **Beneficiary States or Regions** |  | As specified in the proposal |
| **Country Income Group** | **Options:** low-income, lower-middle income, upper-middle income, high income | Taken from the World Bank Classification |
|  |
| **Grant Title** |  | As specified in the proposal |
| **Grant Type** | **Options**: Research, Implementation, Technical Assistance, M&E | **Research:** Impact Evaluations, Needs Assessment, or other study  **Implementation**: Grants with an intervention  **Technical Assistance:** Grants that provide technical assistance  **M&E:** Monitoring and Evaluation grants other than impact evaluations i.e., Process Evaluations  \*\*Combinations of these categories are possible. |
| **Program Officer** |  | Provided by the Bill & Melinda Gates Foundation |
| **Team** |  | Provided by the Bill & Melinda Gates Foundation |
| **Grant Amount** |  | Provided by the Bill & Melinda Gates Foundation |
| **WEC Amount** |  | Provided by the Bill & Melinda Gates Foundation |

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| --- | --- | --- |
| **Start Date** |  | Provided by the Bill & Melinda Gates Foundation |
| **End Date** |  | Provided by the Bill & Melinda Gates Foundation |
| **Active** | 0=no, 1=yes | Provided by the Bill & Melinda Gates Foundation |
| **Is there a WEC focus or group Intervention?** | 0=no, 1=yes | Elements of many WECs include:  - Voluntary membership,  - Self-governance and member participation in decision-making,  - members contribute time, labor or other assets  - regular mandatory face-to-face interactions among the members,  - aim to empower and improve the welfare of individual members  **1=yes** if the documents specify that the grant is WEC focused |
| **WEC Role** |  | Provided by the Bill & Melinda Gates Foundation |
| **Grant Summary** |  | As specified in the proposal |
| **Overarching Goal (defined in proposal)** |  | As specified in the proposal |
| **Documents Used** |  | Options: Proposal (including the Measurement & Evaluation Module and Supplemental Amendment Proposals), Results Tracker, and Final/Progress Narrative |
| What is the **purpose of WEC**? | Health  0=no, 1=yes | **1=yes** if grant describes any health WEC, including health groups, health extension groups mother’s groups, women’s support groups |
| Explain | Specify type of health group e.g., mother’s group  “Layered” here means it is not the primary purpose of the group, but health and nutrition aspects are layered on to another type of group. |
| Food Security/ Agriculture  0=no, 1=yes | **1=yes** if grant describes any food security focused and/or agricultural WEC, including poultry associations, producer groups, and farmer’s groups |
| Explain | Specify type of group e.g., poultry association  “Layered” here means it is not the primary purpose of the group, but agricultural and/or food security aspects are layered on to another type of group. |
| Savings  0=no, 1=yes | **1=yes** if grant describes any savings WEC, including financial self-help groups |
| Explain | Specify type of group e.g., financial self-help group. VSLAs are coded as both savings and credit groups.  “Layered” here means it is not the primary purpose of the group, but financial aspects are layered on to another type of group. |
| Credit  0=no, 1=yes | **1=yes** if grant describes any credit WEC, including VSLAs |
| Explain | Specify type of group e.g., VSLAs. VSLAs are coded as both savings and credit groups.  “Layered” here means it is not the primary purpose of the group, but financial aspects are layered on to another type of group. |
| Non-Agriculture Livelihoods  0=no, 1=yes | **1=yes** if grant describes any livelihood WEC that is not agriculture-related, such as business groups |
| Explain | Specify type of group e.g., business group  “Layered” here means it is not the primary purpose of the group, but livelihood, and/or income generating aspects are layered on to another type of group. |
| Nutrition  0=no, 1=yes | **1=yes** if grant describes any nutrition WEC, such as women’s groups with a nutrition focus |
| Explain | Specify type of group e.g., women’s group with nutrition focus.  “Layered” here means it is not the primary purpose of the group, but nutrition is layered on to another type of group. |
| Literacy  0=no, 1=yes | **1=yes** if grant describes a literacy focused WEC, including groups that mention an education focus or focus on financial literacy |
| Explain | Specify type of group e.g., women’s group on financial literacy.    “Layered” here means it is not the primary purpose of the group, but literacy aspects are layered on to another type of group. |
| Other  0=no, 1=yes | **1=yes** if grant describes any other type of WEC, including Ward Development Committees, general “SHGs” (unspecified), etc. |
| Explain | Specify type of group |
| What is the name of the group? | List exactly how the proposal addresses the name of the group. (if there is no specific name, code “N/A”) |
| Explain | Explain name of group, if needed |
| At what **federation level** is this group? | 1=local; 2=higher level (Federation) | **1=local:** a group is located in a single village or anything smaller  **2=higher level (Federation)**: a group is larger than a village level OR if the group is comprised of more than one local (i.e., village) group  \*If a group is federated at a village level *and* a higher level, code “2=higher level” (not both) |
| Explain | Explain info provided in proposal about the federation level |
| **How many groups** are projected to be created? **How many beneficiaries** are projected to participate? | # of groups to be created | Answers to these questions are found in the proposal based on the number of groups/individuals the grantee *projects* to impact  For # of groups to be created: Code “0” if no new groups created by grantee. Code N/A if grantee does not specify how many or if any groups are created. |
| # of individuals/ households to participate |
| Explain | Explain projections, if need be |
| **How many members** does a typical group include? | Group Size | As specified in grant documents |
| Explain | Explain, if need be |
| How many **years** has the group been **active**? | # of years | **Code “0”** if grantee is forming new groups. If grantee specifies number of years group/group model has been active, **note that number** and write quote from proposal in “Explain” column. |
| Explain | Explain, if need be |
| Do the groups primarily **target certain populations**? | Women focus  0=no; 1=yes; 2= yes, only | **For target populations**: Code N/A if grantee does not specify whether they do or do not target that group. Code **1=yes** if grantee specifically states they will target a certain group of characteristics. Code “0” otherwise.  For these subset questions we look for what populations the grantee is targeting:  **Women focus:** the grantee explicitly states their groups target women OR are comprised of women  \*If the grant mentions a target focus of girls, code “Women focus” and “Adolescent Focus” as both “1=yes” |
| Low-income (poor) 0=no, 1=yes, 2=only | **Low-income**: the grantee explicitly states their groups will target low-income or poor populations OR that the groups will be comprised of these individuals (“ultra-poor” is found in several grant documents but never defined) |
| Primarily rural 0=no,1=yes, 2=only | **Rural**: the grantee explicitly states their groups will target rural populations OR that the groups will be comprised of individuals in rural communities |
| Adolescent focus 0=no, 1=yes, 2=only | **Adolescent**: the grantee explicitly states their groups will target adolescents (i.e., individuals between 10 and 19 years old) |
| Young adult focus 0=no, 1=yes, 2=only | **Young Adult**: the grantee explicitly states their groups will target young adults (i.e., individuals between 20 and 24 years old) |
| Women of reproductive age/gave birth  0=no, 1=yes, 2=only | **Reproductive Age**: the grantee explicitly states their groups will target women of reproductive age (i.e., individuals between 15 and 49 years old)  \*Also, code **1=yes** if group includes women who recently gave birth or will soon |
| Explain | Explain which populations are targeted, include direct quotes where possible |
| What is the **gender make-up** of the community groups? | Only women, only men, mixed | These questions relate to the actual composition of groups (in contrast to the targeted composition above)  **Only Women:** if participants are only women, as explained by grant documents  **Only Men:** if participants are only men, as explained by grant documents  **Mixed:** if participants are both men and women, as explained by grant documents |
| % of Women | Include specific percentages if specified in grant documents |
| % of Men | Include specific percentages if specified in grant documents |
| Explain | Explain gender make-up, include direct quotes where possible |
| Do the participants primarily live in **rural** areas? **Urban** areas? | Rural  0=no, 1=yes | **Rural:** if participants primarily live in rural areas, as explained by grant documents |
| Urban  0=no, 1=yes | **Urban:** if participants primarily live in urban areas, as explained by grant documents |
| Explain | Explain rural/urban composition, include direct quotes where possible |
| What is the **socio-economic composition** of the group? | Low-income, mixed | **Low-income:** if participants are primarily low-income, as explained by grant documents  **Mixed:** if participants socioeconomic status is mixed (i.e., including both low-income and middle/high incomes), as explained by grant documents |
| Explain | Explain socio-economic composition, include direct quotes where possible |
| What is the **age composition** of the group? | Adolescent (10-19), young adult (20-24), adult (25-65), elderly (65+) | **Adolescent:** if participants are primarily adolescents (10-19 years old), as explained by grant documents  **Young adult:** if participants are primarily young adults (20-24 years old), as explained by grant documents  **Adult (25-65):** if participants are primarily adults (25-65 years old), as explained by grant documents  **Elderly (65+):** if participants are primarily elderly (older than 65 years old), as explained by grant documents |
| Explain | Explain age composition, include direct quotes where possible |
| What **other characteristics** distinguish group members? | Characteristics | List other distinguishing characteristics. Some possibilities include: poverty level, literacy, religion, caste, sexual orientation, ethnicity etc. |
| Explain | Explain what other characteristics distinguish group members, include direct quotes where possible |
| Was the group **put together by an outside impetus**? | 0=no, 1=yes | If specified in grant documents |
| **Options**: NGO, Government, Religious/Social Group, Trade Union, Enterprise (textile group, agricultural producer group), political party | If “1=yes”, select group category that best fits the outside impetus  \*If multiple, list in the order of importance |
| Was the group **put together by its members**? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain, include direct quotes where possible |
| Are group activities **open to non-members**? | 0=no,1=yes | If specified in grant documents |
| Explain | Explain, include direct quotes where possible |
| Does the group primarily receive **funding** from its members or from external sources? | **Options:** Members, External Sources, Both | If specified in grant documents |
| Explain | Explain, include direct quotes where possible |
| Is **group attendance** mandatory or voluntary? Are other mandatory activities specified? | Mandatory group attendance 0=no,1=yes | If specified in grant documents |
| Other mandatory activities (e.g. can only sell crops through the group) 0=no,1=yes | If specified in grant documents |
| Groups meet at least weekly 0=no,1=yes | If specified in grant documents |
| Groups meet at least monthly 0=no,1=yes | If specified in grant documents |
| Number of Group meetings in a cycle (define cycle term) | List number of group meetings in a cycle and cycle term (e.g., “24 monthly meetings”, “4 per quarter”), if specified in grant documents |
| Average # hours spent on group activities per meeting | List average number of hours spent on group activities per meeting, if specified in grant documents |
| Explain | Explain any of the group attendance coding, if need be |
| Does the group require members to **regularly save with the group**? | 0=no, 1=yes | If specified in grant documents |
| If yes, how often do they pay in? (e.g., weekly, monthly) | If specified in grant documents |
| If yes, how much do members contribute? | If specified in grant documents. If a range of dollar amount is offered, note the range |
| Where does the group **normally meet**? | At a member's home 0=no, 1=yes | If specified in grant documents |
| At a central community location 0=no, 1=yes | If specified in grant documents |
| Explain | Explain where the group meets if specified |
| Describe **travel times** involved in group participation. | Avg. travel time in minutes for group members to meet | If specified in grant documents |
| Avg. distance in km for group members travel to meet | If specified in grant documents |
| Explain | Explain travel times if specified |
| Do members **graduate** from the group? | 0=no; 1=yes | If specified in grant documents |
| If members graduate, what is the criteria for graduation? | If specified in grant documents |
| Are there **yearly dividend payments** to group members? | 0=no, 1=yes | If specified in grant documents |
| Does **earned interest** roll over to become additional funds to loan? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain dividend payments/interest payments if specified |
| Who is **liable** in the case of borrower default? | **Options:** individual, group | **Individual**: individual borrower is responsible if they default  **Group**: group or sub-group is responsible if a borrower defaults |
| Explain | Explain liability rules if specified |
| Are group **disputes resolved collectively**? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain how disputes are resolved |
| Are groups **led by** facilitators, executives/governing bodies, or collectively by group members themselves? | **Options:** facilitators; governing body (incl executives); group members | If specified in grant documents |
| Facilitators/executives are chosen by group members 0=no, 1=yes | If specified in grant documents |
| **Options**: paid; volunteer; external institution | If specified in grant documents |
| Explain | Explain who facilitates, how they are chosen, and how, if at all, they are compensated |
| How are **leaders selected**? | **Options:** community leader; NGO; members; other | If specified in grant documents |
| Explain | Explain how leaders are selected |
| How do members typically **learn about the existence of the group**? | **Options**: NGO, government, community mobilizer, group members, other | If specified in grant documents |
| Explain | Explain how members learn about the group |
| Does the grant describe what **motivates**   group members to join and continue participating in a WEC? | **Options**: collective bargaining; pooled capital and resources; shared ideas and information; training; risk-sharing; networks; agency and household autonomy; other | If specified in grant documents |
| Explain | Explain if grant describes what motivates members to join |
| Does the group **work with other community members** in implementing activities or strategies for improving outcomes? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain how the group works with community members |
| Does the group **work with NGOs or other external groups** (outside of facilitator training)? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain how the group works with other groups |
| Are groups currently **connected to any digital ecosystems or infrastructures**? | 0=no, 1 = yes | If specified in grant documents |
| Explain | Explain how the group is connected to digital ecosystems/infrastructure |
| If yes, describe benefits | If a group is connected, describe any benefits of that connection that shows up in the grant documents |
| If yes, describe constraints | If a group is connected, describe any constraints of that connection that shows up in the grant documents |
| Are **records** maintained and kept? | 0=no, 1=yes | If specified in grant documents |
| If yes, how are records maintained and kept? | Explain how records are maintained/kept |
| Who is in-charge of record keeping? | If specified in grant documents |
| How often are records updated? | If specified in grant documents |
| Are records used to advise group activity in any way? | If specified in grant documents |
| Do the groups include **peer accountability mechanisms** beyond social sanctions based on meeting attendance? | 0=no, 1=yes | If specified in grant documents  Possible peer accountability mechanisms include: member fees, peer home visits, specific member responsibilities, etc. |
| Explain | Explain what peer accountability mechanisms exist |
| Are group membership and participation **rules changed democratically**? | 0=no, 1=yes | If specified in grant documents |
| Explain | Explain how group rules are changed democratically |
| Does the grant report on **group membership retention**? | 0=no, 1=yes | If specified in grant documents |
| Retention rate | If specified in grant documents |
| Explain | Provide a direct quote from grant documents that notes the retention rate |
| Is there a **Theory of Change**? | TOC present  0=no, 1=yes | **0=no** when grantee does not mention any pathways related to individual outcomes  **1=no** if grantee mentions pathways related to individual outcomes even if TOC is not explicitly stated |
| TOC explicitly stated  0=no, 1=yes | **0=no** if grantee does not explicitly used the terms “Theory of Change/TOC”, “Theory of Action”, “Logic Model”, “Change Model” OR have a diagram that illustrates change model/cycle  **1=yes** if the above terms and/or diagram are included. |
| Is there a TOC about an **individual's decision to join** and participate   in a group? | 0=no, 1=yes | **0=no** if grant does not mention any of the theories below explicitly or implicitly  **1=yes** if one or more of the theories below are explicitly or implicitly stated (refer to the following definitions in determining when the theory is implicit in the grant) |
| Describe, (e.g. benefit-cost assessment, institutional economic decision-making, risk assessment, behavioral economics) | **Benefit-cost assessment:** an attempt to either increase the (individual or household) benefits from joining, or decrease the (time, financial, or social) costs  **Institutional economic decision-making**: focuses on the role of formal rules and informal norms relevant to joining groups  **Risk Assessment:** like benefit-cost but focuses on perceived (expected) risks of joining and an individual’s consideration of the trade-offs of being involved in a WEC and/or how a WEC in return changes an individual’s assessment of trade-offs **Behavioral economics:** recognizes deviations from the “rational choice” model of economics, and recognizes various biases in decision-making uncertainty including a status quo bias (requiring a “nudge”), time-inconsistent discount rates (requiring commitment devices), context dependent preferences (choice patterns shift according to the menu), and heuristics rather than optimization driving decision-making (I’ll join if my neighbor does, and otherwise not) and system 1 vs System 2 thinking (e.g., emotional vs rational thinking) |
| Is there a TOC about **how participation within a group affects individual behavior**? | 0=no, 1=yes | **0=no** if grant does not mention any of the theories below explicitly or implicitly  **1=yes** if one or more of the theories below are explicitly or implicitly stated (refer to the following definitions in determining when the theory is implicit in the grant) |
| Describe (e.g. social cognitive theory, social network theory, collective action, economic decision-making, risk assessment) | **Social Cognitive theory:** posits that learning occurs in a social context with a dynamic and reciprocal interaction of the person, environment, and behavior. The theory assumes that changes in the environment will automatically lead to changes in the person. **Social Network theory:** the importance of social ties within a group i.e., social capital is generally discussed on this theory regarding international development.  **Collective Action[[1]](#footnote-1):** mechanisms to reduce free-riders when more than one individual is required to contribute to an effort in order to achieve an outcome.  **Economic Decision-making:** different ways individuals assess trade-offs to make decisions (e.g. benefit-cost assessment, institutional, behavioral economics)  **Risk Assessment:** an individual’s systematic consideration of the trade-offs of whether to be involved in a WEC and/or how a WEC in return changes an individual’s assessment of trade-offs |
| Does the grant include an **intervention**? | 0=no, 1=yes | **1=yes** if the grantee is directly involved in group formation, improvement, and/or assists OR supports OR targets a product to group |
| Explain | Describe the intervention |
| Does the intervention use **technology**? (e.g., mobile phone or new seed) | 0=no, 1=yes | **1=yes** if grantee discusses the use of technology, such as mobile phone or new seed technology, as a part of intervention |
| Does the intervention use **safe spaces**? | 0=no, 1=yes | **1=yes** if grantee discusses safe spaces as a part of intervention  **Safe spaces** are defined as places in which a person or category of people can feel confident that they will not be exposed to discrimination, criticism, harassment, or any other emotional or physical harm. |
| Does the intervention have a **training component**? (e.g., life skills) | 0=no, 1=yes | **1=yes** if grantee discusses the use of training, such as life skills, as a part of intervention |
| Explain | i.e., What type? Does the intervention state a theoretical framework? | For above three columns on technology, safe spaces, and training provide information on specific examples of these interventions. Include a direct quote from grant documents, where possible. |
| Does the intervention include **integrated programming** i.e., layered intervention? | 0=no, 1=yes | **1=yes** when grantee states they will add another intervention on top of another  **0=no** when no layering over current intervention is mentioned by grantee |
| **Options**: Health and nutrition, Gender-based violence, Informal education/literacy, Access to social protection and welfare schemes/entitlement, Agriculture, Livelihoods and entrepreneurship, Housing, Water and Sanitation, Political awareness and participation, Financial services | If “1=yes”, code for the type of layered intervention (can be multiple) |
| Explain | Explain the layered intervention |
| Does the grant discuss a pathway related to **new or additional access to markets**? | 0=no, 1=yes | **1=yes** when grant discusses how access to markets support individuals in groups. Look for terms related to market linkages such as: “markets”, “government institutions”, “access to improved seeds”, etc. and how they related to outcomes for individuals. |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **new or additional access/links to services**? | 0=no, 1=yes | **1=yes** when grant discusses how access to services, such as financial services or government entitlement schemes, support individuals in groups. Look for terms related to services such as: “services”, “financial institutions”, “government partnerships/entitlements”, “social protection” etc. and how they related to outcomes for individuals. |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **shared/pooled risk**? |  | **1=yes** when grant discusses how shared/pooled risk, such as in financial groups, support individuals in groups. Look for terms related to services such as: “risk-sharing”, “profit-sharing”, “pooled money/resources”, etc. and how they related to outcomes for individuals. |
|  | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **group bargaining power (market, political, and social**? | 0=no, 1=yes | **1=yes** when grant discusses how groups provide collective bargaining power. Look for terms such as: “collective power”, “balance inequities”, “collective action”, “mobilization” |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **new or shared social networks**? | 0=no, 1=yes | **1=yes** when grant discusses how groups provide support around social networks. Look for terms such as: “networks”, “community support”, or “social capital” |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **new or shared experience/knowledge**? | 0=no, 1=yes | **1=yes** when grant discusses how groups provided increased knowledge, access to training, or other language on how the group gives individuals an opportunity to increase their knowledge set or their life skills |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **critical consciousness around gender, shared agency and norms**? | 0=no, 1=yes | **1=yes** when grant discusses how groups lead to changed norms or preferences especially around gender. Look for terms such as: “agency”, “new ideas”, “new norms”, “psycho-social dimensions of group”, “social consciousness”, “voice” |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **shared/pooled time, financial, and/or other resources**? | 0=no, 1=yes | **1=yes** when grant discusses pooled/collective/shared capital/ /or resources lead to individual outcomes such as increased productivity, empowerment, etc. |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Does the grant discuss a pathway related to **anything else**? | 0=no, 1=yes | **1=yes** when grant discusses other pathways from their theory not previously mentioned |
| Explain | If code is “1=yes” describe where you found the information or what indicated the outcome to be true. Include direct quotes from grant documentation where possible. |
| Are there costs to groups members **in terms of time**? | 0=no, 1=yes | **1=yes** if grant discusses costs group members incur related to time e.g., distance to group meeting, time away from other activities, etc. |
| Explain | If code is “1=yes” describe where you found the information. Include direct quotes from grant documentation where possible. |
| Are there costs to group members in terms of **personal autonomy**? | 0=no, 1=yes | **1=yes** if grant discusses costs group members incur related to their personal autonomy e.g., lack of individual decisions/choice, group decisions matter, etc. |
| Explain | If code is “1=yes” describe where you found the information. Include direct quotes from grant documentation where possible. |
| Are there costs to group members in terms of **discrimination**? | 0=no, 1=yes | **1=yes** if grant discusses any costs group members incur related to discrimination e.g., effects of being different ages, of a different caste, etc. |
| Explain | If code is “1=yes” describe where you found the information. Include direct quotes from grant documentation where possible. |
| Are there costs to group members in terms of **increased risk (e.g., indebtedness, social sanctioning)**? | 0=no, 1=yes | **1=yes** if grant discusses costs group members incur related to increased risk that includes debt or social sanctioning e.g., partner violence for being in a group, public or group shaming, etc. |
| Explain | If code is “1=yes” describe where you found the information. Include direct quotes from grant documentation where possible. |
| Does the grant report community **spillover effects on social norms** attributed to the group? | 0=no, 1=yes | **1=yes** if the grant mentions that groups may/will/did challenge societal/community norms beyond the group level |
| Explain | Explain the spillover effects, pull direct quotes from grant where possible |
| Does the grant report community **spillover effects on productivity or markets** attributed to the group? | 0=no, 1=yes | **1=yes** if the grant mentions that groups may/will/did affect productivity either at the family/household, community, and/or market level (e.g., financial institutions having more female borrowers) |
| Explain | Explain the spillover effects, pull direct quotes from grant where possible |
| Does the grant report **spillover effects on wages, prices, or interest rates** attributed to the group? | 0=no, 1=yes | **1=yes** if grant mentions that groups may/will/did affect wages, prices, or interest rates either at the family/household, community, and/or market level |
| Explain | Explain the spillover effects, pull direct quotes from grant where possible |
| Does the grant discuss an intent to achieve an impact on **economies of scope** by working through a group rather than with individuals? | 0=no, 1=yes | **1=yes** if the grant targets multiple different outputs or outcomes through the group, or provides multiple different services through the group (i.e., layering) |
| Explain | Explain the desired economy of scope |
| Does the grant discuss and an intent to achieve an impact on **economies of scale** by working through a group rather than with individuals? | Economies of scale 0=no, 1=yes | 1=yes if the grant intends to reach a greater number of people or provide a greater amount of services more effectively or efficiently by operating through groups |
| Explain | Explain the desired economy of scale |
| **How many groups** were created to date? **How many beneficiaries** have participated to date? | # of groups created | Answers to these questions are found in the results tracker and/or final narrative based on the number of groups/individuals the grantee notes it has impacted |
| # of individuals/households participated | Answers to these questions are found in the results tracker and/or final narrative based on the number of individuals/households that have participated |
| Does the grant **intend to demonstrate an impact** on [type] outcomes?  Types of outcomes: Health, Nutrition, Fertility, Sanitation, Women’s Empowerment and Agency, Agriculture, Smoother Consumption, Assets and Savings, Diversified Income Flow and Expenditures, Credit, Employment, Market Power, Knowledge, Education, and Other  *[same codes for each type of outcome, see Coding Framework excel spreadsheet]* | 0=no, 1=yes | **1=yes** when the grant explicitly states they will focus OR target OR measure OR evaluate the [outcomes] impact  \*Look at all grant documents used for coding to determine coding decision |
| What **types of indicators** does the grantee report on?  1=Activities by grantee only, 2= Behavior and/or perception change only, 3=Outcomes only, 4=Mixed | Activities by grantee: activities carried out by the grantee, including trainings and capacity building activities (e.g., # of nutritional trainings)  Behavior and/or perception change: changes to the behavior or perceptions of beneficiaries (e.g., increasing dietary diversity measured via number of food groups consumed)  Outcomes: the intended result of the intervention (e.g., changes in prevalence of anemia)  **1=yes** if grant measures the outcome in its Results Tracker  \*ONLY look at the final or most recent Results Tracker for this information; list ALL relevant indicators associated with the outcome category  If evaluations or data are mentioned in given grant documents but no raw data is found code “0=no” AND then list this in “What additional potential data sources are there” |
| List indicators for **activities** by grantee | List all indicators related to activities by grantee |
| List indicators for **behavior and/or perception change** | List all indicators related to behavior and/or perception change |
| List indicators for **outcomes** | List all indicators related to outcomes |
| Are raw data available?  0=no, 1=yes | **1=yes** ONLY if raw data are referenced AND are found in the given grant documents  If evaluations or data are mentioned in given grant documents but no raw data is found code **“0=no”** AND then list this in “What additional potential data sources are there” |
| Are summary statistics available?  0=no, 1=yes | **1=yes** if grant provides any measures on provided outcomes  DO NOT code as “1=yes” if grant only provides “achievement month or goal” for outcome to be completed |
| Are there data available for sub-populations?  0=no, 1=yes | **1=yes** if grant includes sub-population data for the data provided (e.g., males vs. females, across different villages/areas, etc.) |
| Explain | Record here if:   * you found relevant outcome indicators outside of the Results Tracker   a data source or evaluation was referenced for a specific outcome in the grant documents but no raw data was available |
| Does the grant report on **cost data** for the intervention? | 0=no, 1=yes | **1=yes** if the grant describes a costing, cost effectiveness, benefit-cost etc. study OR if the grant states the number of $ or resource savings resulting from the intervention (i.e., by individual or group)  \*Cost data is not the grant budget data |
| Explain | Explain what cost data exists and where to find it (if included in grant documents) |
| Does the grant report on **effect sizes**? | 0=no, 1=yes | **1=yes** if there is an impact evaluation attached |
| Explain | Explain where and how the grant reports on effect sizes, including which effect sizes |
| Is the grant **co-funded**? | 0=no, 1=yes | 1=yes if grant states they will be funded by other sources than BMGF |
| Explain | List funding partners |
| What additional **potential data sources** are there? | List | List any reference to evaluations, studies, data, surveys, assessments, quasi-experimental studies, research studies, etc. that are mentioned in the grant documents. Be as detailed as possible on **where these data sources are from** and **dates of these data conducted.** |

1. Ostrom, E. O., & International Food Policy Research Institute. (2004a, February). *Collective Action and Property Rights For Sustainable Development.* Retrieved December 28, 2018, from https://ageconsearch.umn.edu/bitstream/16549/1/fo041102.pdf [↑](#footnote-ref-1)