

CROP-ENVIRONMENT SERIES

Maize, Rice, Sorghum/Millet, Sweet Potato/Yam, Cassava

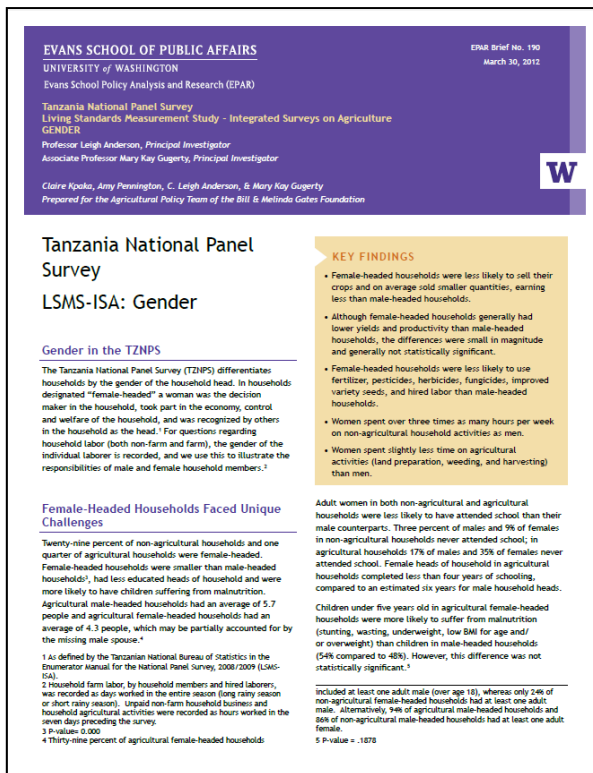
May 5, 2014

Evans School Policy Analysis & Research Group (EPAR)



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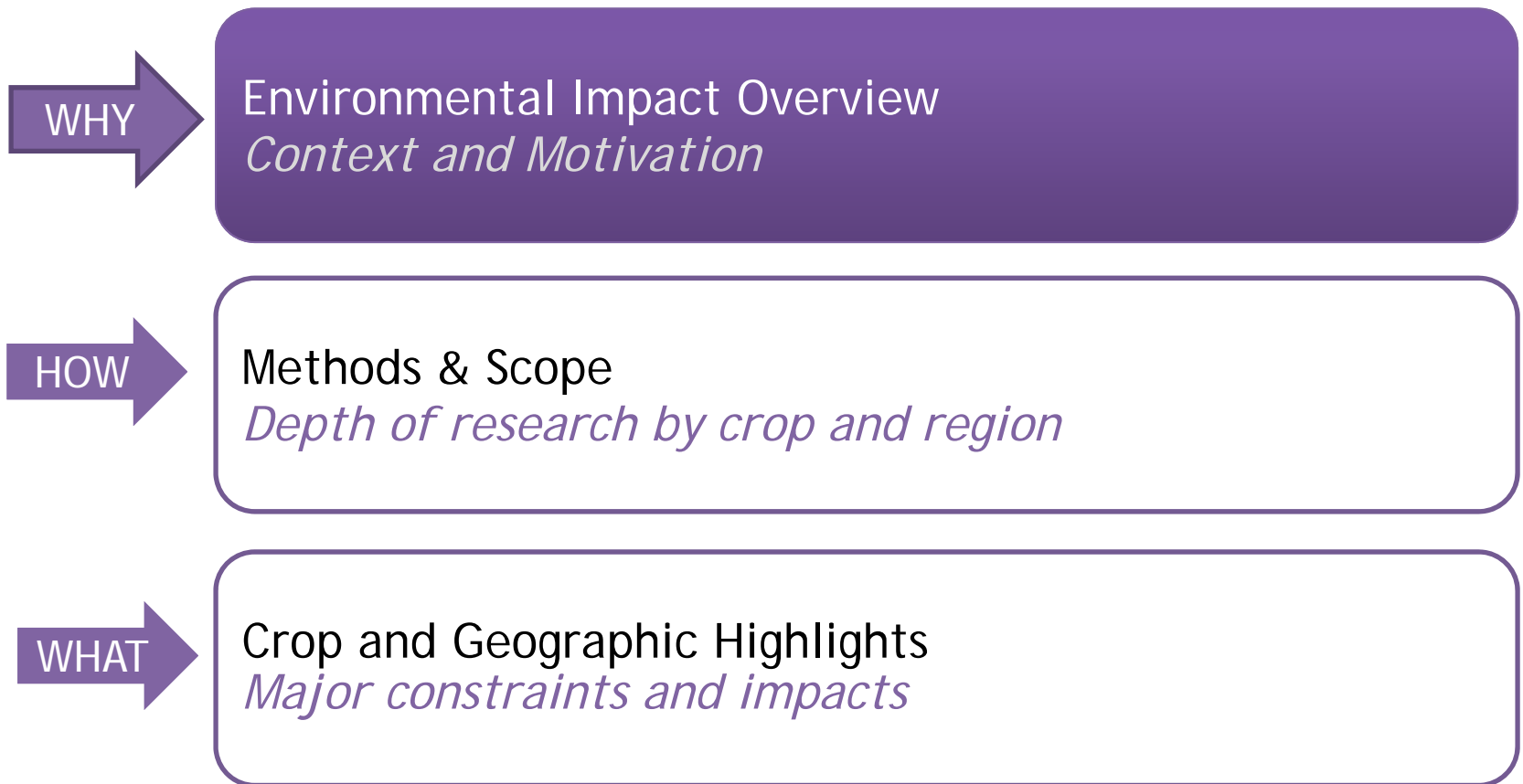
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AGENDA

Why Productivity not Yield?

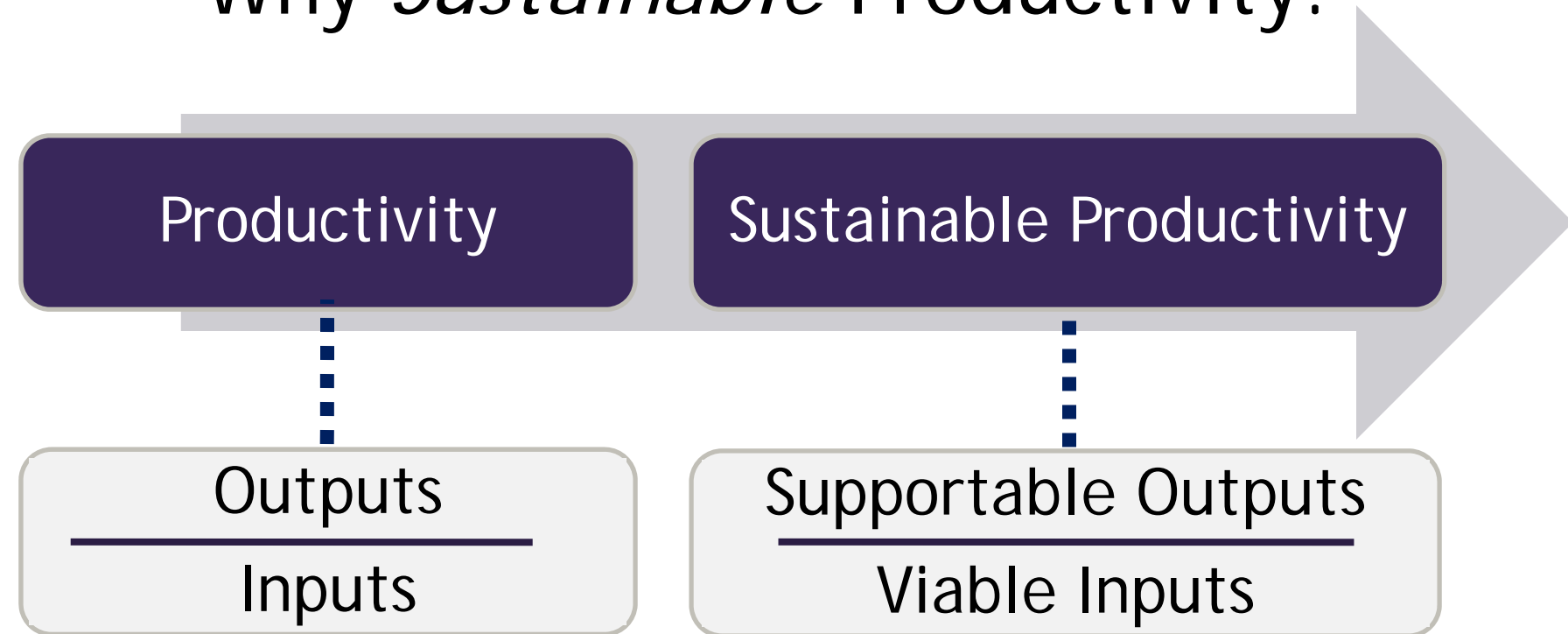
Productivity accounts for the value of multiple outputs (e.g. intercropping, crops, livestock) and the cost of various inputs (labor, fertilizer...)

In practice grantees:

- ❑ Most often measure yield, and
- ❑ Measure yield in different ways

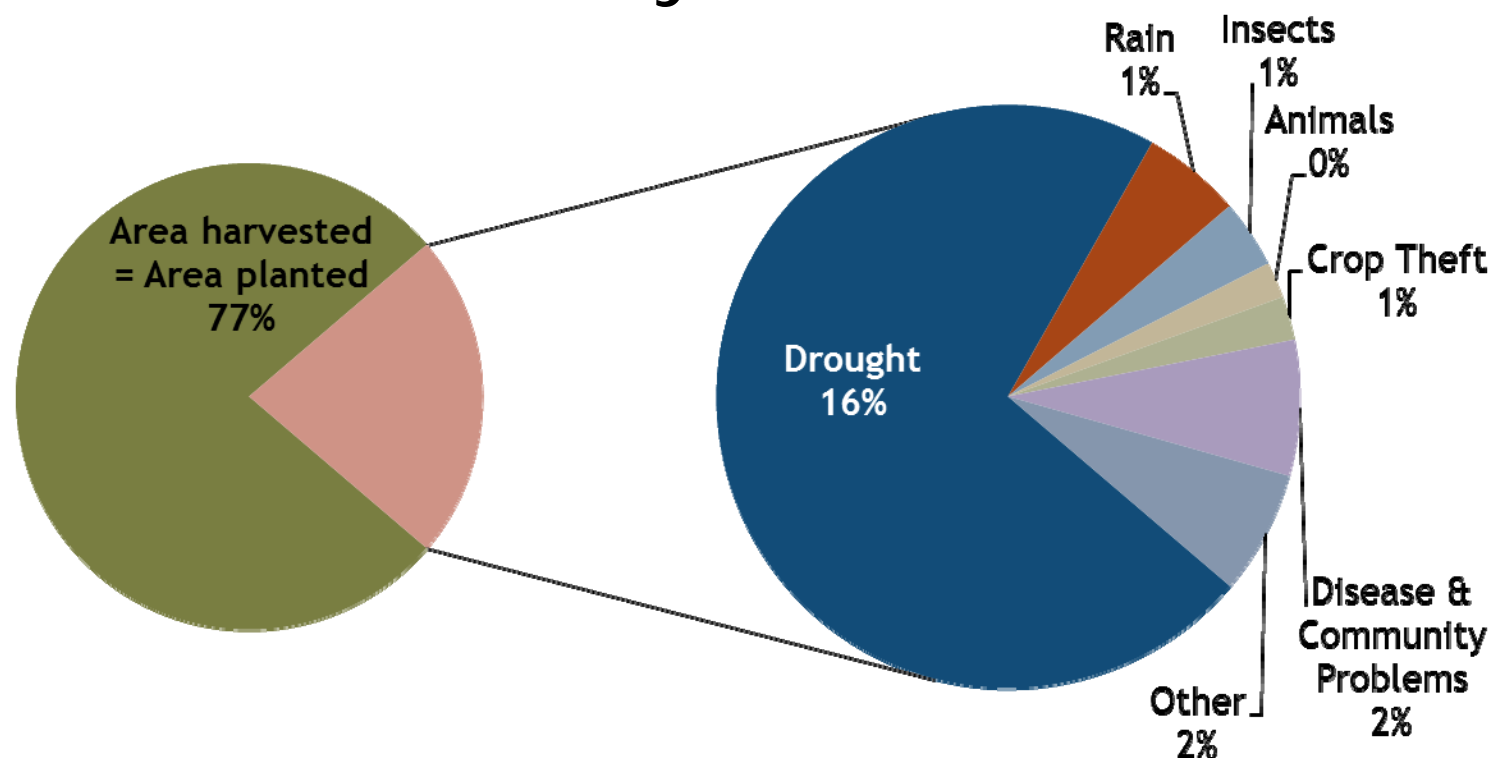
YIELD IMPROVING INTERVENTION	POTENTIAL COSTS
Mono-cropping	<ul style="list-style-type: none">• Reduced production of other crops• Loss of biodiversity• Increased pests
Intensive cultivation	<ul style="list-style-type: none">• Erosion, nutrient depletion• Increased labor costs
Application of fertilizers/pesticides	<ul style="list-style-type: none">• Increased input and credit costs• Pollution, loss of pollinators / intercropping

Why *Sustainable* Productivity?



PRODUCTIVITY CONCERNS	SUSTAINABLE PRODUCTIVITY CONCERNS
Labor costs	Women's labor
Input costs	Input types/sources (renewable/non-renewable)
Yield: crop output in kg/hectare	Value: crop and intercrop output in nutrients, also environmental and social impacts

The Environment & Sustainable Productivity: Constraints



Farmer-reported reasons for loss in area between planting and harvesting on long rainy season plots

[From EPAR's Rice Yield and Productivity Measures Brief](#)

The Environment & Sustainable Productivity: Impacts

- ❑ Farmer adaptations in any given cropping season have implications for the regional and global environment (negative externalities like habitat loss, climate change)
- ❑ Farm practices also have environmental impacts with consequences for the farmer in future crop cycles (nutrient depletion, soil structure damage)
- ❑ The latter impacts are not negative externalities (impacting others), but rather costs incurred by the farmer in the next production cycle

The Environment & Sustainable Productivity: Good Practices

Sustainably productive agricultural systems:

- ☐ Pursue input supply from renewable sources (water, nutrients, labor) and low levels of stresses
- ☐ Ensure efficient use of those resources in producing useful outputs
- ☐ Enable high cycling of resources within the farming system, reducing losses in the system and offtake
- ☐ Maintain environment/ecosystem services
- ☐ Include well developed farmer management systems

...and the ways to assess these priorities

Why Measure Sustainable Productivity Operationally?

Opportunities to Incorporate Environmental Considerations into Grant Making

Programming	<ul style="list-style-type: none">• Incorporate environmental considerations throughout programming• Encourage good practices
Measurement	<ul style="list-style-type: none">• Understand where gaps in the literature exist• Use opportunities to measure environmental indicators, evaluate and learn about crop-environment interactions
Awareness	<ul style="list-style-type: none">• Increase awareness of potential negative impacts of promoting increased crop (and livestock) productivity• Recognize “red flag” environmental constraints and impacts associated with production practices

WHY

Environmental Impact Overview
Context and Motivation

HOW

Methods & Scope
Depth of research by crop and region

WHAT

Crop and Geographic Highlights
Major constraints and impacts

AGENDA

Crop x Environment Briefs

❑ Agriculture-Environment Overview

Content

- Environmental constraints
- Environmental impacts
- Depth of research on crop-environment interactions
- Cropping systems in SSA and SA
- Key environmental impacts



❑ Crop Specific Environmental Briefs

Content

- Constraints by production stage (pre-production, production, and post-harvest)
- Good practices
- Climate change impacts

EVANS SCHOOL OF PUBLIC AFFAIRS

UNIVERSITY OF WASHINGTON

Evans School Policy Analysis and Research (EPAR)

Prepared for the Agricultural Policy Team
of the Bill & Melinda Gates Foundation

Agriculture-Environment Series - Sorghum/Millet Systems At-A-Glance

EPAR Brief No. 213 (Summary)

Professor Leigh Anderson, Principal Investigator
Associate Professor Mary Kay Gugerty, Principal Investigator

Table 1: Environmental Interactions in Sorghum & Millet Production Systems in Sub-Saharan Africa (SSA) and South Asia (SA).

August 31, 2013

Rank, Importance	Environmental Constraints	Pre-Production	Production	Post-Production
		4	1	3
Adaptation Strategies	Environmental Impacts	LAND CONSTRAINTS: Sorghum and pearl millet are the 5 th and 6 th most important cereal crops in the world. In some regions the area planted may increase with climate change.	WATER: Sorghum and millets are grown with as little as 400-500 mm of rainfall per year. Though drought-tolerant, both sorghum and millet have greatly reduced yields in drought conditions.	POST-HARVEST LOSSES: Post-harvest losses in sorghum and millet vary; can be 12% of harvest. CROP RESIDUES: Removal of crop residues for fuel is common in SSA. In SA residues are sold as fodder.
		EXPANSION: Sorghum and millet area in Africa has increased dramatically since 1980. INTENSIFICATION: Crop area in South Asia has decreased since 1980, but production is still rising.	IRRIGATION: Growing sorghum or millet is often an adaptation to water constraints where other crops might fail. Sorghum grows best with early water access through irrigation. Millet performs best with water access throughout the season.	TRADITIONAL STORAGE: With proper drying, traditional storage can be effective; storage chemicals are sometimes used.
Best Practices		LAND DEGRADATION: Due to their resilience to abiotic stresses, sorghum and millet may encourage expansion onto previously uncultivated slopes and marginal lands. Lands often more fragile and thus more vulnerable to environmental damage from crops.	WATER DEPLETION: Increasing water scarcity threatens the productivity of irrigated crops worldwide. Due to lower yields for a given amount of water, sorghum is generally not economical under irrigation. But in SA it is often irrigated in rotation with other crops, thus exacerbating nutrient and water depletion.	WASTED EFFORT: Post-harvest losses represent wasted effort and environmental resources.
		USE OF IMPROVED VARIETIES AND EXISTING GENETIC DIVERSITY: Improved varieties exist; sorghum/millet genetic diversity provides opportunities for adaptation to marginal sites. Managing soils can also raise productivity.	WATER CONSERVATION & SPECIES SELECTION: Judicious water and soil management raises water use efficiency. Drought-tolerant (traditional & improved) crops also increase yields. Agrodiversity is a key strategy for climate adaptation.	SOIL DEGRADATION: Where residues are removed and sold for livestock fodder, fuel and construction, soil degradation and nutrient deficiencies are exacerbated.
				FERTILIZERS: The marginal soils and environments where sorghum/millet are most often grown are frequently not responsive to fertilizer use.
				DISEASES: Breeding for early maturation exposes flowers to moisture, allowing mildew to thrive. Downy mildew is a continuing threat to pearl millet due to changing races of the disease; this disease evolution may hasten with widespread fungicide use.
				BALANCED NUTRIENT MANAGEMENT: Phosphorus fertilization has improved pearl millet by 52%, but is most effective when combined with improved soil management. Planting on ridges to conserve soil and water, combined with phosphorus fertilizer has been shown to improve grain yield by nearly 135%.
				PROPER DRYING: With low moisture content post-harvest losses can be minimized.
				UTILIZE RESIDUES: Incorporating residues into soils can reduce GHGs and raise fertility; fodder opportunities may be realized.

NOTE: The findings and conclusions contained within this material are those of the authors and do not necessarily reflect positions or policies of the Bill & Melinda Gates Foundation.

1

Using the EPAR Environment Series

- ❑ In-depth analysis by crop:
 - ❑ Rice, Maize, Sorghum/Millet, Sweetpotato/Yam, Cassava
- ❑ “Good practice” insights & recommendations:
 - ❑ Land & soil management
 - ❑ Integrated pest management
 - ❑ Intercropping & agro-biodiversity
- ❑ Research gaps & limitations

Evans School
of Public Affairs
UNIVERSITY OF WASHINGTON
Evans School Policy Analysis and Research (EPAR)
Professor Leigh Anderson, PI and Lead Faculty
Associate Professor Mary Kay Gugerty, Lead Faculty

Agriculture-Environment Series:
Current Knowledge of Crop-Environment Interactions in Sub-Saharan Africa and South Asia
EPAR Brief No. 20**

Travis Reynolds, Stephen R. Waddington, Alexander Chew, Zoe True
C. Leigh Anderson, Alison Cullen and Mary Kay Gugerty

Prepared for the Agricultural Policy Team
of the Bill & Melinda Gates Foundation

March 20, 2014

Introduction

This overview introduces a series of briefs in the Agriculture-Environment Series that examine crop-environment interactions for a range of crops in smallholder food production systems in Sub-Saharan Africa (SSA) and South Asia (SA). The EPAR briefs cover the following important food crops in those regions: rice (#208), maize (#218), sorghum/millet (#213), sweetpotato/yam (#225), and cassava (#228).

Drawing on the academic literature and the field expertise of crop scientists, these briefs highlight crop-environment interactions at three stages of the crop value chain: pre-production (e.g., land clearing and tilling), production (such as water, nutrient and other input use), and post-production (e.g., waste disposal and crop storage). At each stage we emphasize environmental constraints on crop yields (including poor soils, water scarcity, crop pests) and impacts of crop production on the environment (such as soil erosion, water depletion and pest resistance). We then highlight good practices from the literature and from expert experience for minimizing negative environmental impacts in smallholder crop production systems.

This overview (along with the accompanying detailed crop briefs) seeks to provide a framework for stimulating across-crop discussions and informed debates on the full range of crop-environment interactions in agricultural development initiatives.

Content of the Overview and Crop Briefs

We begin this overview by describing our methodology for ranking crop-environment interactions, and follow by introducing the major smallholder farming systems in which the crops are grown in SSA and SA. We then highlight key environmental constraints and impacts with the crops and systems through the value chain, details of which are available in the five EPAR crop-environment briefs. Each of these detailed briefs in turn includes a summary table identifying key environmental constraints and environmental impacts of the selected food crop in farming systems in SSA and SA. These full briefs further summarize good practices identified in the literature to address environmental problems associated with the crops. While the detailed briefs are crop-focused, the appendices of this overview presents relevant crop information broken out by region: SSA and SA, and a summary of the research by region.

We evaluate the importance of crop-environment interactions by assessing the frequency with which an environmental

constraint to crop production, or environmental impact from crop production, is mentioned in the peer-reviewed literature, and whether it is characterized in that literature as minor, moderate or severe. Recognizing that this accounting depends on the stock of literature, we report on the depth of the literature for each crop, to allow the reader to calibrate the results by the amount of research that has been conducted.

We use three criteria to summarize the empirical evidence currently available in peer-reviewed scholarship and to identify apparent gaps in research on crop-environment interactions:

I. Severity of Environmental Constraints Reported

The relative effects of major biotic and abiotic constraints on crop yields are increasingly available in the peer-reviewed literature, including recent and cross-cutting review articles evaluating constraints in relation to ‘yield gaps’ by crop and by farming system (see for example Waddington *et al.*, 2010; Dixon *et al.*, 2001). Some of these cross-cutting crop-level estimates of constraints and yield gaps are given in the individual crop-environment briefs. In this overview we summarize for six general categories the relative significance of various environmental constraints on crop production as determined based on a comprehensive review of published literature and consultation with crop experts. The categories were land availability, nutrient constraints, water constraints, biotic constraints, climate change, and post-harvest losses. These same categories are used in the summary table at the beginning of each detailed crop brief.

We categorize the severity of these categories of environmental constraints for each crop as follows:

0. No mentions of the environmental constraint in published literature or expert accounts on the crop
1. Rarely mentioned or a minor constraint
2. Sometimes mentioned as a moderate constraint
3. Consistently mentioned as a moderate constraint
4. Sometimes mentioned as a severe constraint
5. Consistently mentioned in published literature or expert accounts on the crop as a severe constraint

Wherever possible at least two experts with expertise specific to each crop validated the categorizations; in any remaining cases the authors used their own judgment based on expert input and their own assessments of the available evidence. The resultant categorization indicates the relative importance, in very broad terms, of different environmental constraints on crop yields. Further details on each type of constraint can be found in the accompanying briefs on each crop.

NOTE: The findings and conclusions contained within this material are those of the authors and do not necessarily reflect positions or policies of the Bill & Melinda Gates Foundation.

Page 1

Methods

- ❑ Assessments of constraints to crops, key crop environmental impacts by small expert panels and adaptation strategies

Environmental constraint categories:

land availability
nutrient constraints
water constraints
biotic constraints
climate change
post-harvest losses

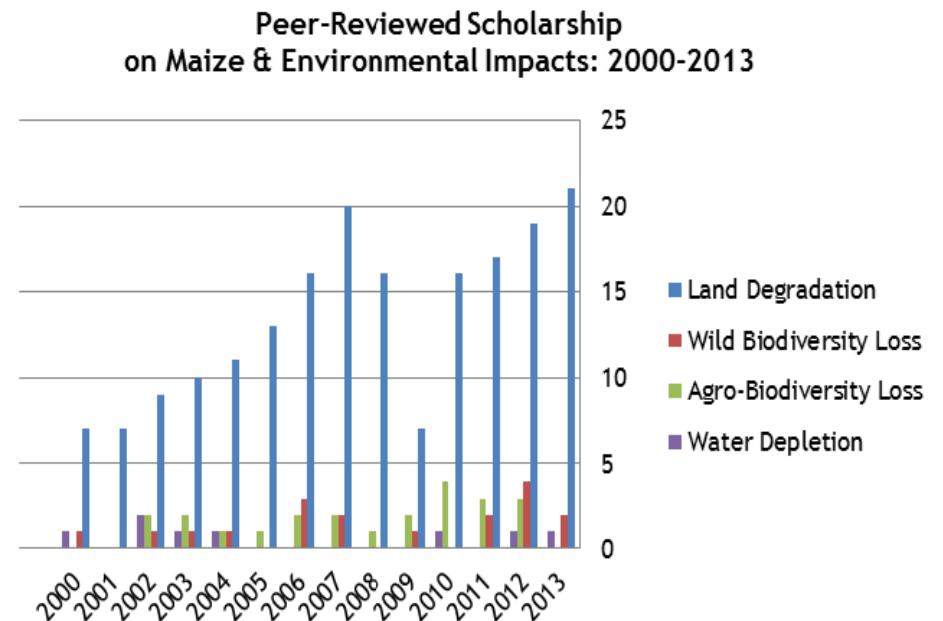
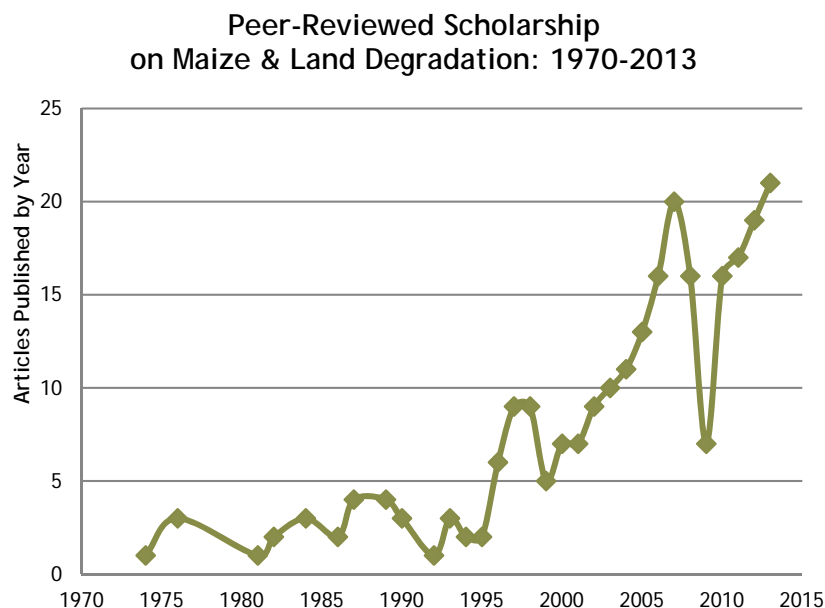
Environmental impact categories:

land degradation
wild biodiversity loss
agro-biodiversity loss
water depletion
water pollution
soil nutrient depletion
soil pollution
pest outbreaks & resistance
greenhouse gas (GHG) emissions (CH₄ or N₂O)
air pollution
storage chemicals
post-harvest losses

Methods

- ❑ In-depth review and interpretation of published and gray literature on crop-environment interactions
 - ❑ Scopus searches for published research on environmental topics

EXAMPLE SEARCH: *(maize) AND (erosion OR degradation OR "slash and burn" OR "slash-and-burn" OR "soil conservation" OR "conservation tillage") AND (africa OR niger* OR ethiopia OR tanzania)*



Methods

□ Classification of severity of crop environmental impacts:

0. No mentions of the environmental impact in published literature or expert accounts on the crop

1. Rarely mentioned or a minor impact

2. Sometimes mentioned as a moderate impact

3. Consistently mentioned as a moderate impact

4. Sometimes mentioned as a severe impact

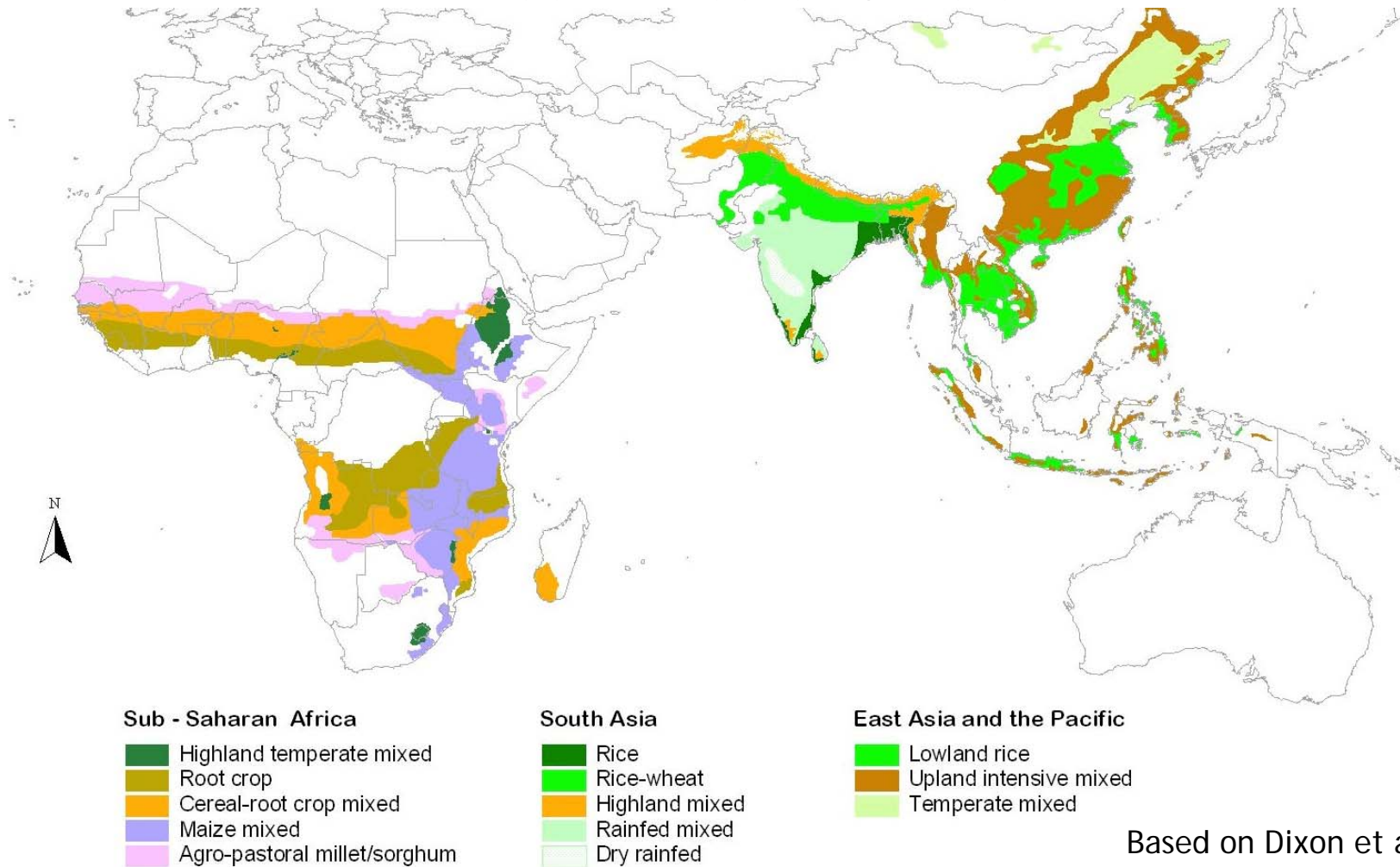
5. Consistently mentioned in published literature or expert accounts as a severe environmental impact

Caveats for Crop-Environment Analyses

- ❑ Non-crop specific constraints and impacts may be under-emphasized
- ❑ Farm system and agro-ecological zone matter (as does the role of livestock)
- ❑ Responses to environmental impacts will vary with the context

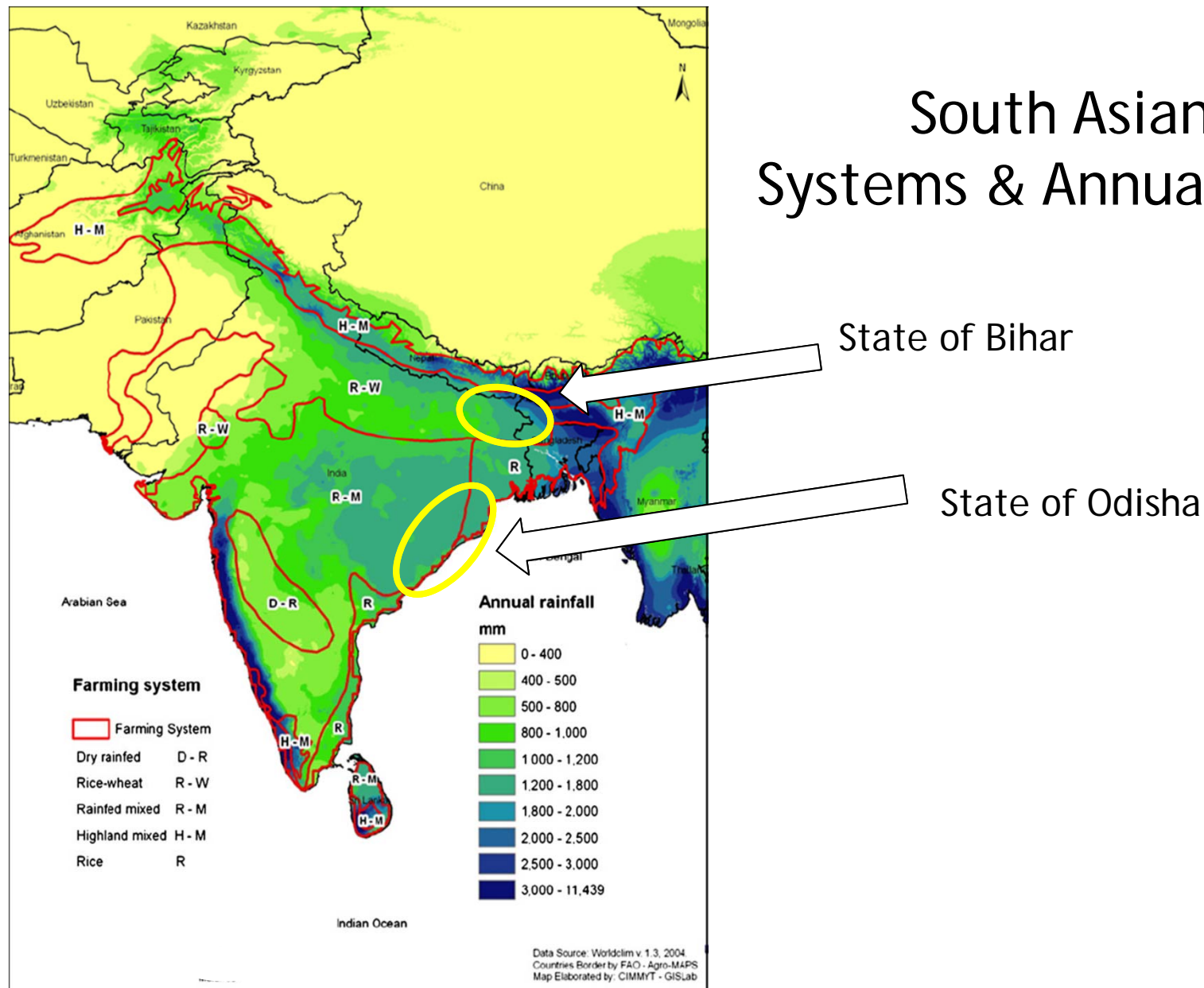


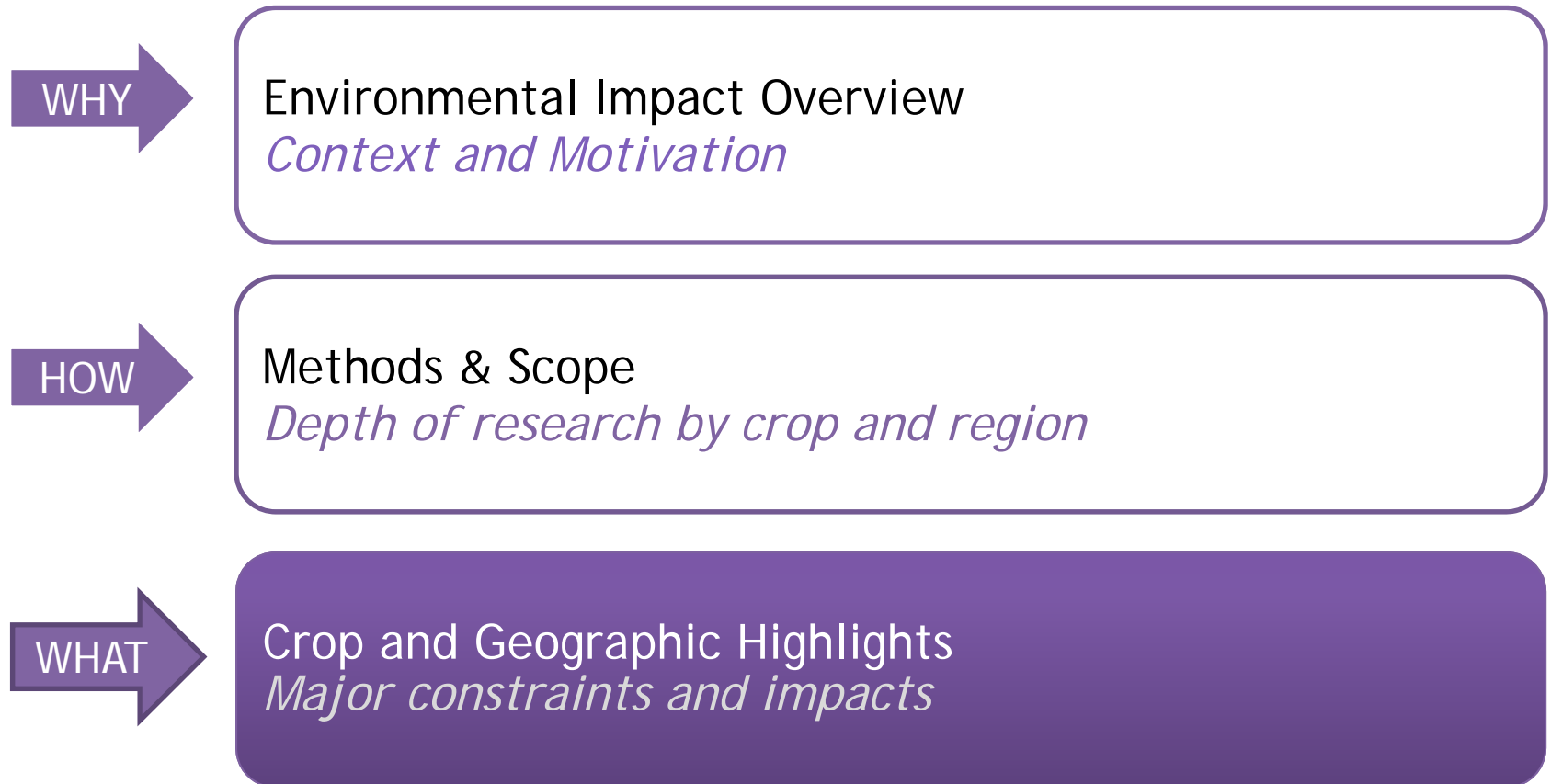
Cereal & Root Crop Farming Systems *in SS Africa and Asia*



Based on Dixon et al (2001)

South Asian Farming Systems & Annual Rainfall





AGENDA

Sustainable Productivity Growth

for key crops (and cropping systems)

Reduced Environmental
Constraints to Crop
Production

e.g., better soils, water
abundance, fewer crop pests-
diseases-weeds, appropriate
temperatures

Reduced Impact of
Cropping on the
Environment

e.g., less deforestation,
biodiversity loss, soil erosion,
nutrient mining, water
depletion, pest resistance,
soil-water-air pollution



Increased Environmental Services &
Reduced Environmental Degradation

e.g., land/soil quality, wild biodiversity, agro-biodiversity,
water availability, water quality, soil nutrient status, pest
status, greenhouse gases, air quality, storage chemicals

Ex: Crop-Environment Interactions

Constraint: Cassava growing on a nutrient-depleted sandy soil in southern Africa



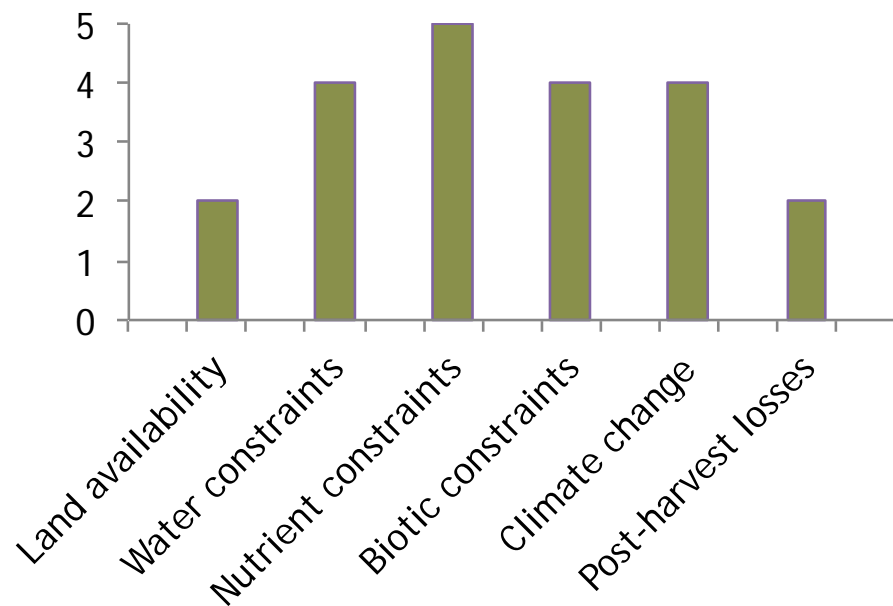
Impact: Newly-emerged maize planted on bare sloping land in South Asia



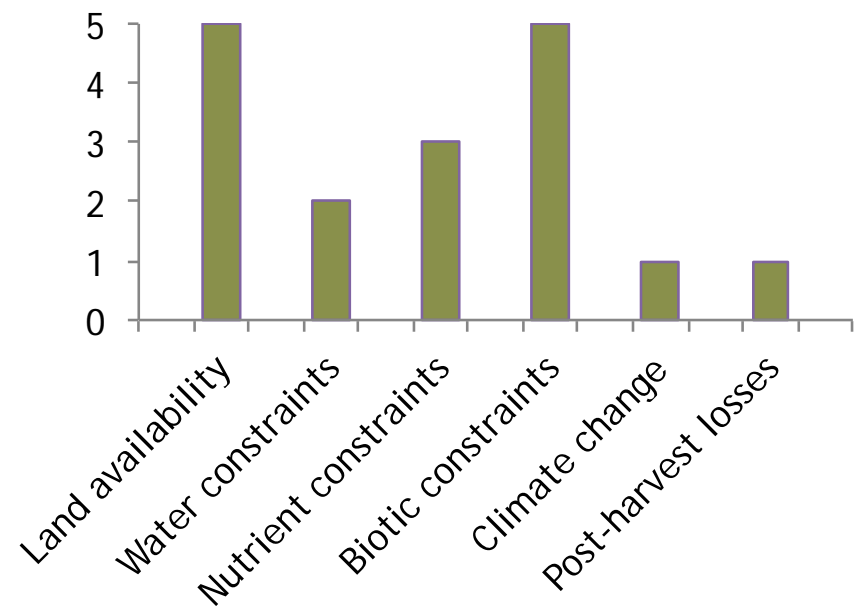
Summary of Environmental Constraints

e.g. for Maize

Relative Severity of Maize
Environmental Constraints (SSA)



Relative Severity of Maize
Environmental Constraints (SA)

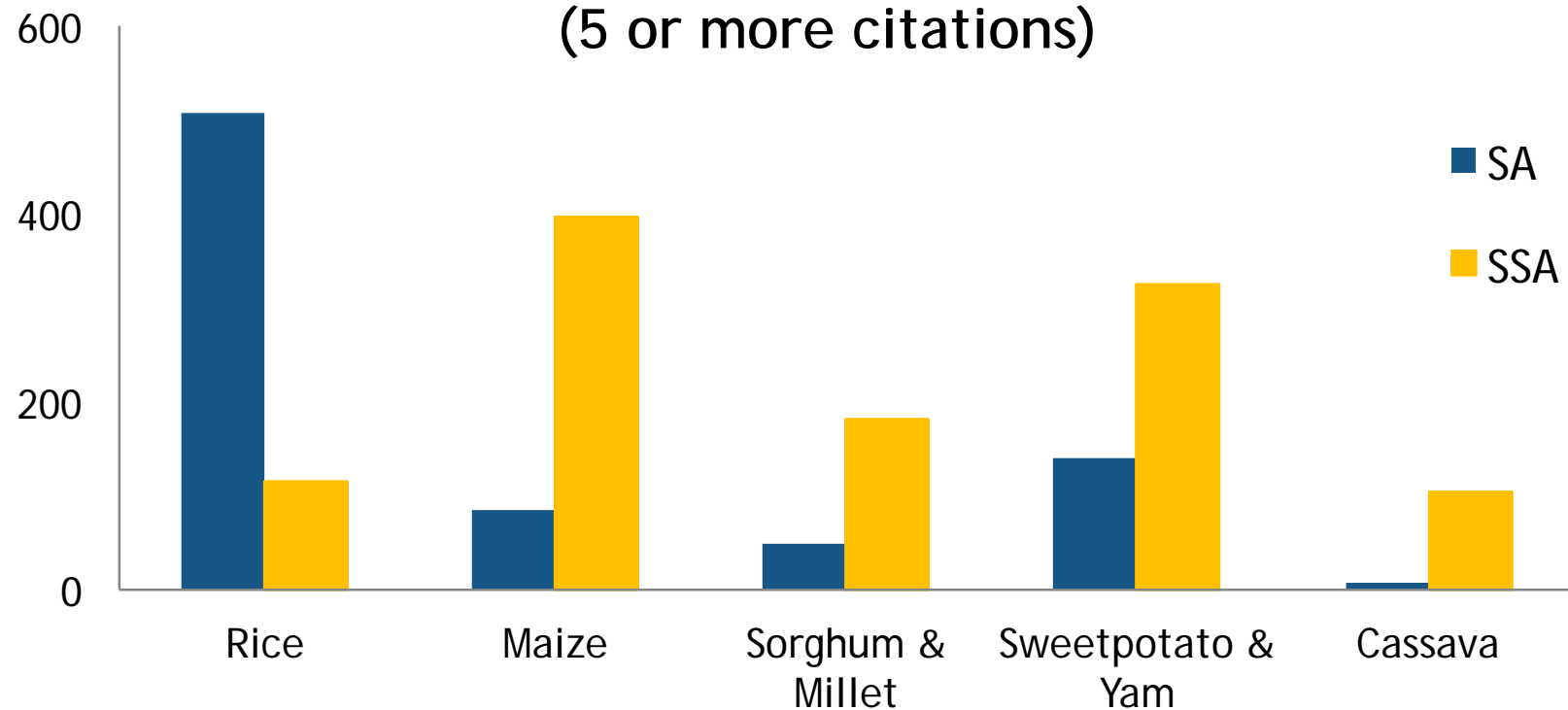


Impacts of Crops on the Environment, by Crop



Depth of Crop x Environment Research Literature

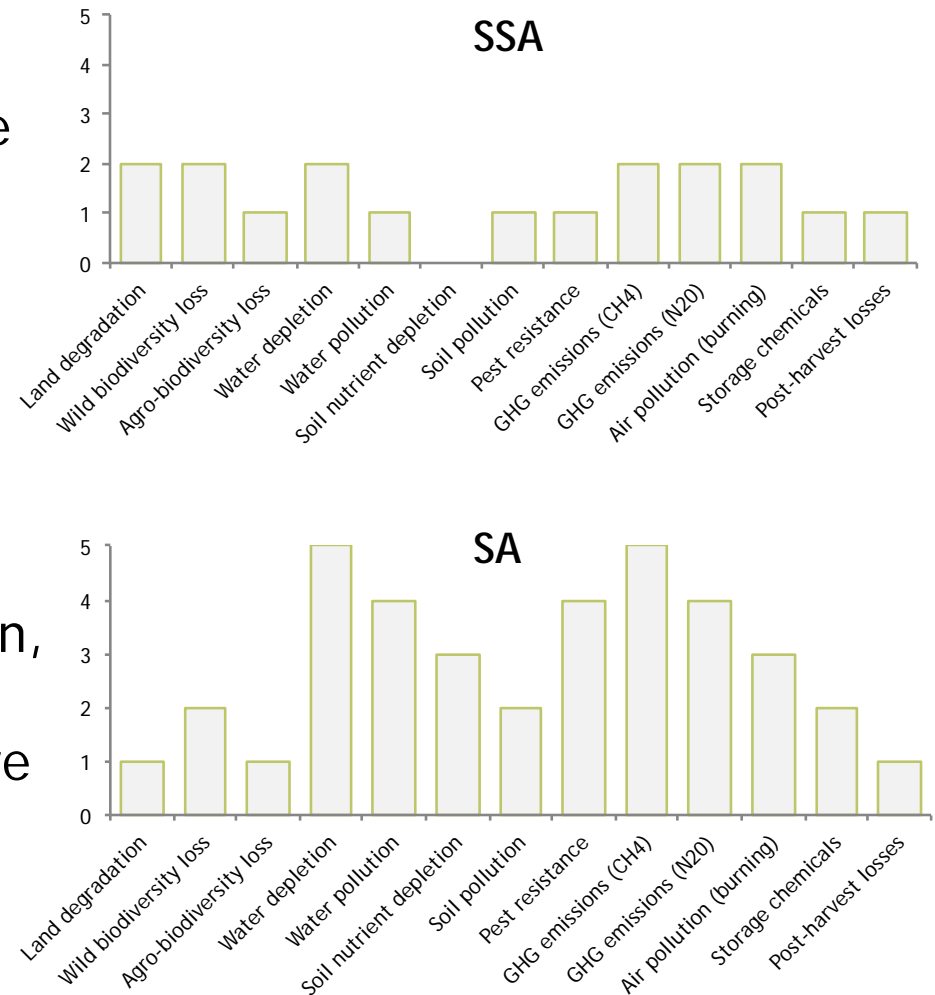
Peer-Reviewed Papers on Crop Environment Interactions Since 2000 by Region
(5 or more citations)



Rice

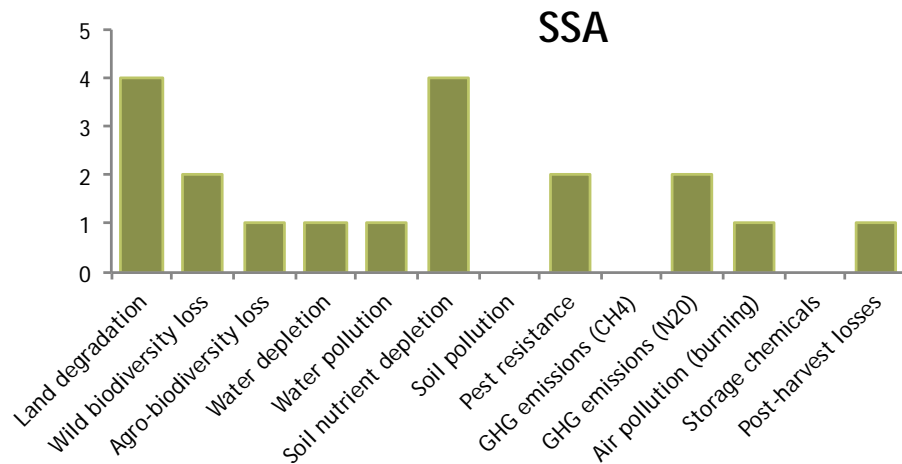
- ❑ Intensive monoculture rice systems increase yields but have higher environmental impacts.
- ❑ In SSA, land degradation and conversion of sensitive ecosystems are key threats.
 - ❑ Newly expanding rice areas
- ❑ In SA water depletion & pollution, pest resistance, and GHG emissions among the most severe impacts.
 - ❑ Rice already long-established

Relative Severity of Rice Environmental Impacts in SSA and SA



Maize

Relative Severity of Maize Environmental Impacts

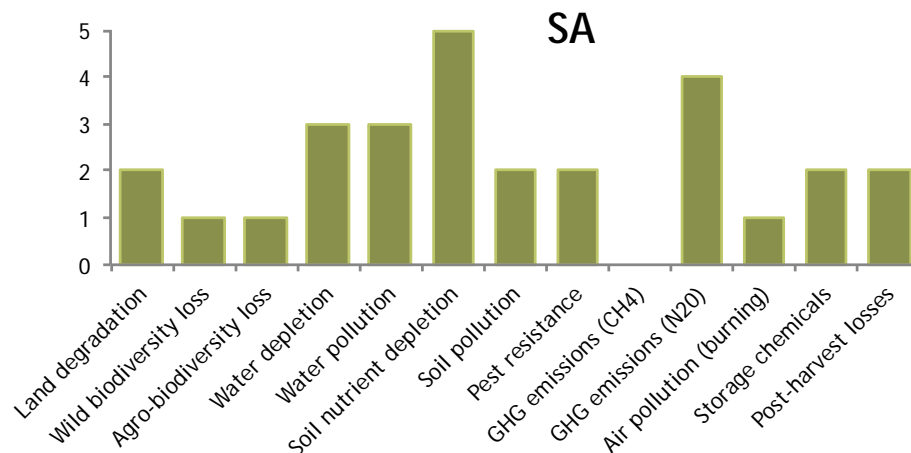


Between 1961 and 2010 maize area harvested increased 53% worldwide, doubling in SSA.

Maize is a common first crop after slash-and-burn clearing in SSA leading to biodiversity loss and GHG emissions.

Repeated plantings with poor fertility management leads to nutrient mining.

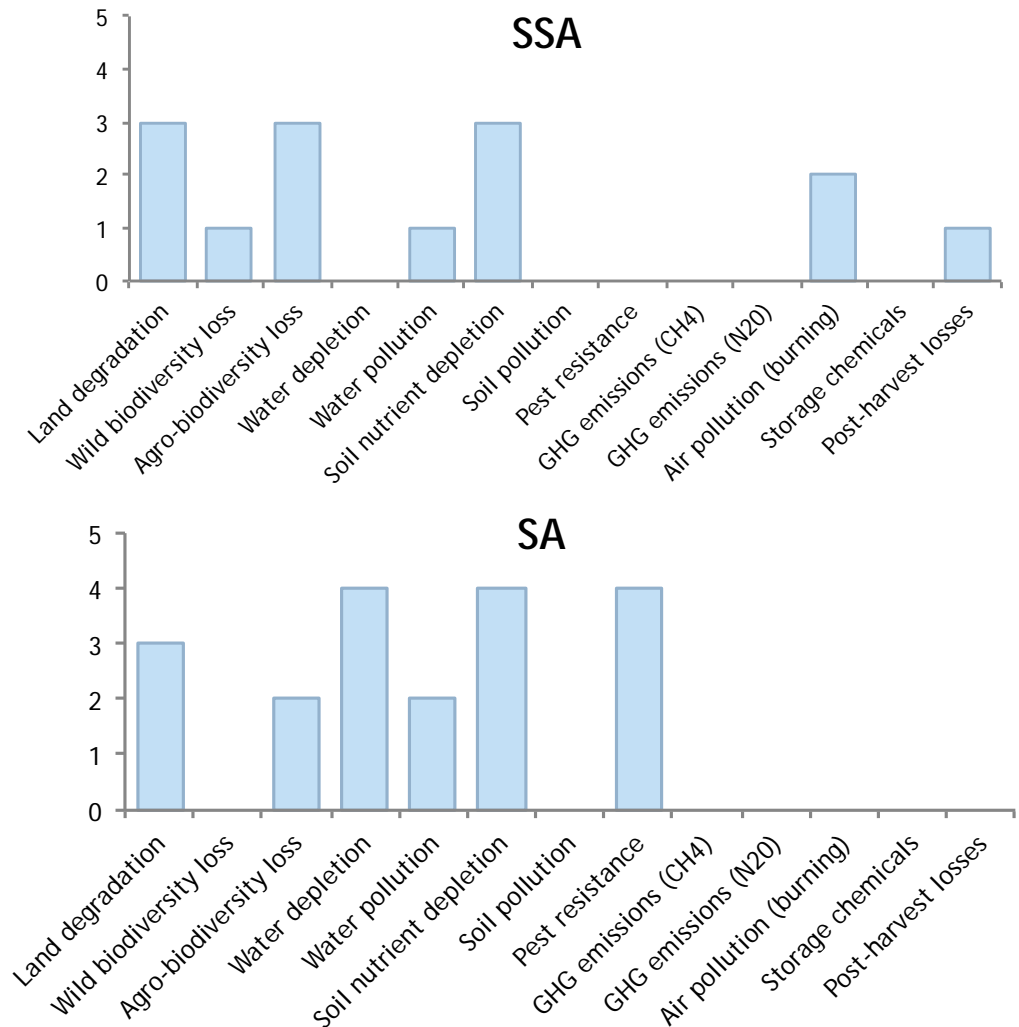
Climate change will exacerbate biotic and abiotic constraints to maize, including temperature & drought, pests, and suitable land.



Sorghum/Millet

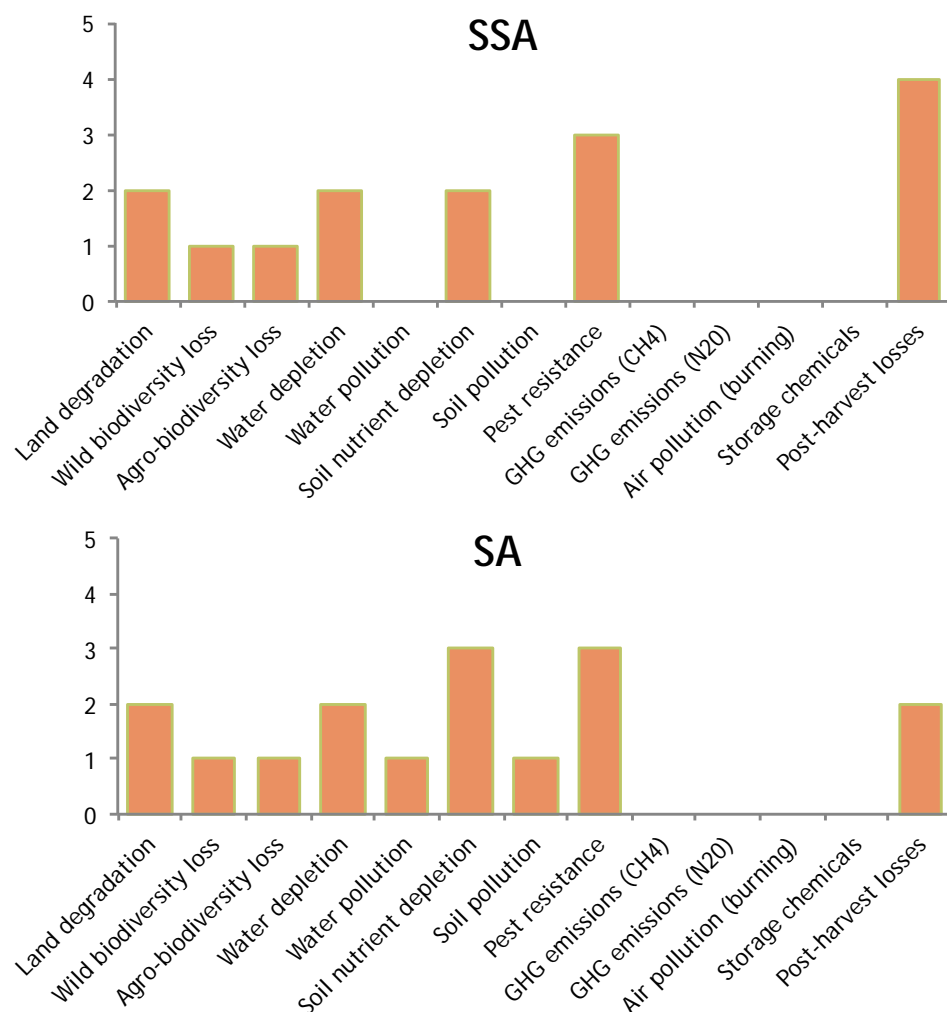
- ❑ Suitability for marginal (dry) lands means these crops are often grown in ecologically fragile areas.
- ❑ Pressures from climate change may mean expansion of these production systems.
- ❑ Crop residues can mitigate impacts but there are competing uses as fodder, fuel, etc.
 - ❑ Both in extensive (SSA) and intensive (SA) systems

Relative Severity of Sorghum/Millet Environmental Impacts



Relative Severity of Sweetpotato /Yam Impacts

Sweetpotato and Yam



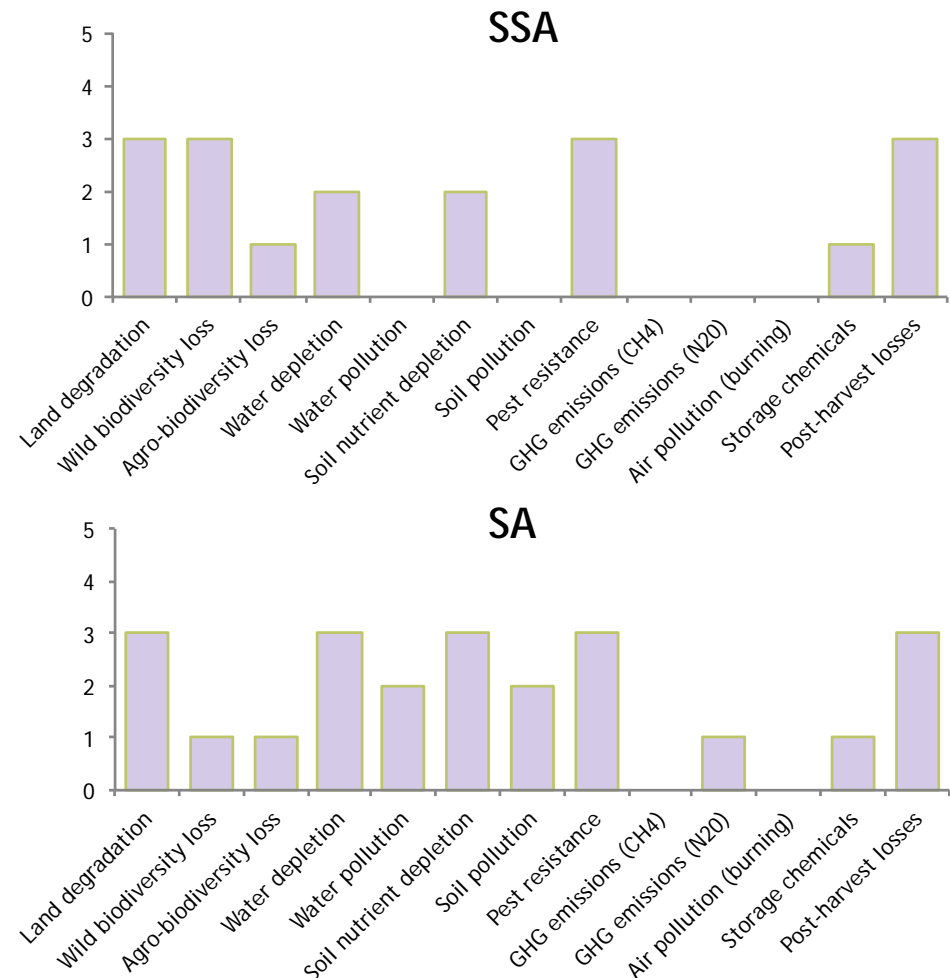
- ☐ Modest environmental impacts, partially attributable to sparse cropping and very low-input cropping systems.
- ☐ May be more tolerant to climate change than cereals.
- ☐ But highly susceptible to pests and disease during crop production and post harvest.

The environmental impact of post-harvest losses includes the cumulative burden of all resources used in production

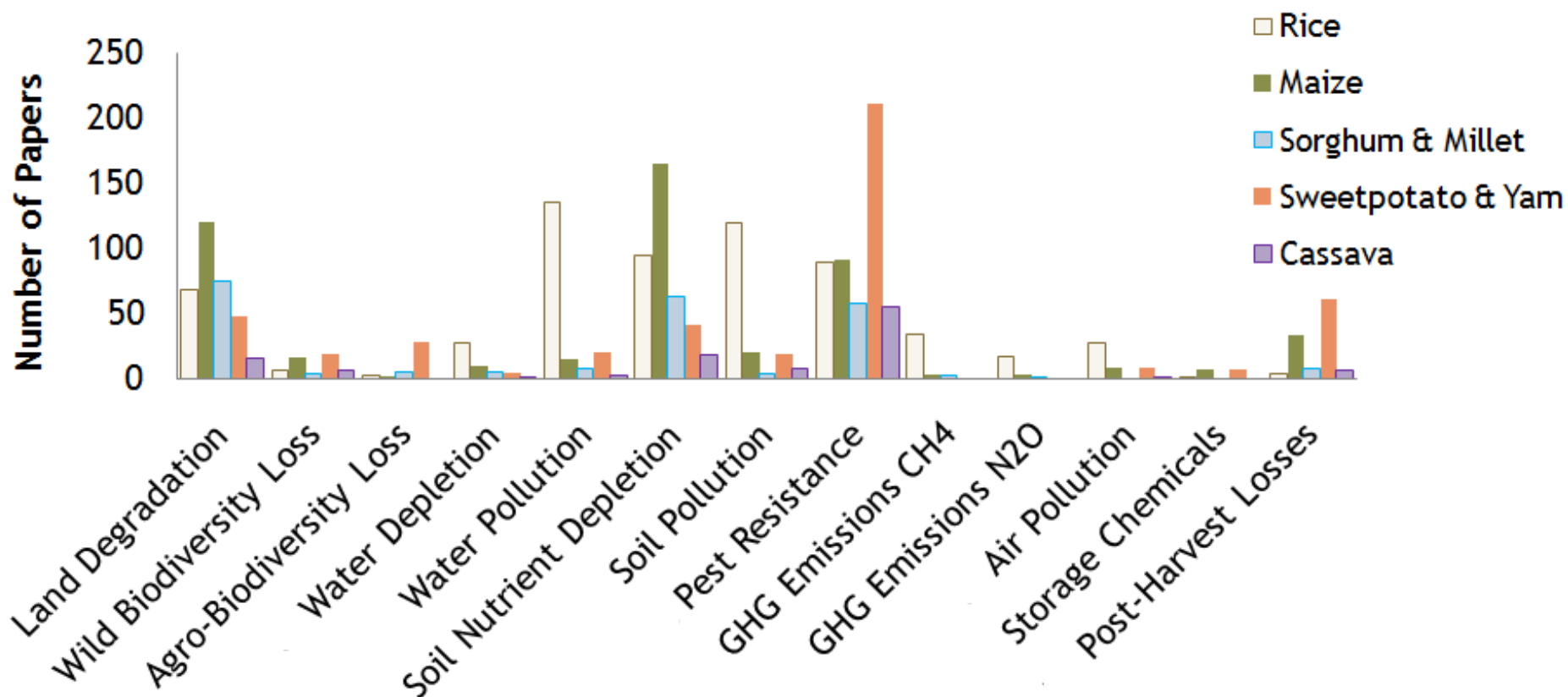
Cassava

- ❑ Role as a food security crop; long presumed low-impact.
- ❑ Expansion of cassava into marginal lands in SSA has increased forest loss, soil degradation and erosion.
 - ❑ Last-resort crop on depleted fields before bush fallows.
- ❑ High susceptibility to diseases and pests.
- ❑ Difficulties with post-harvest storage and processing.

Relative Severity of Cassava Environmental Impacts

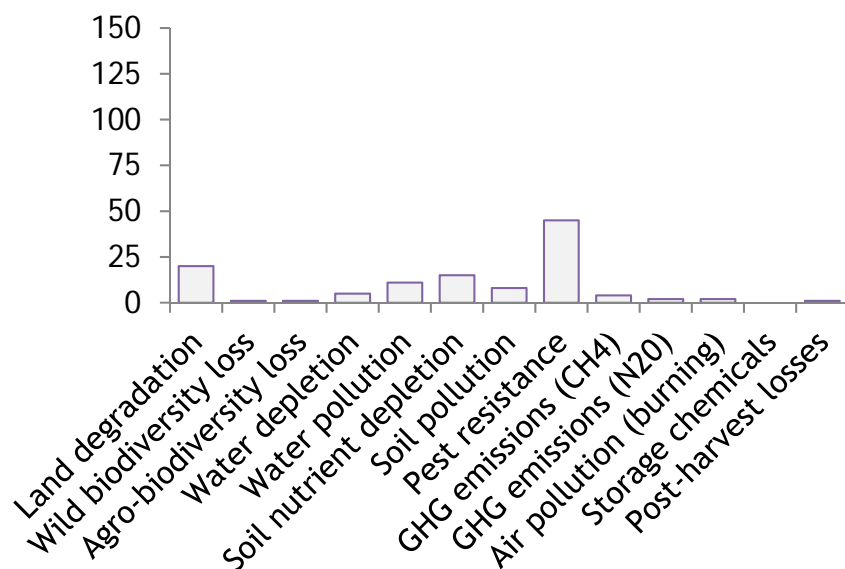


Depth of Crop x Environment Research Literature, and Gaps

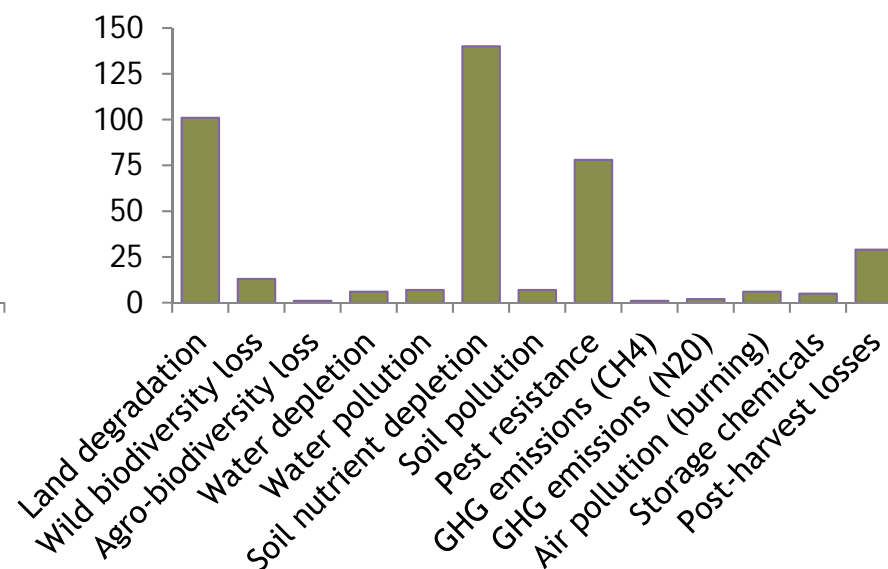


SUB SAHARAN AFRICA

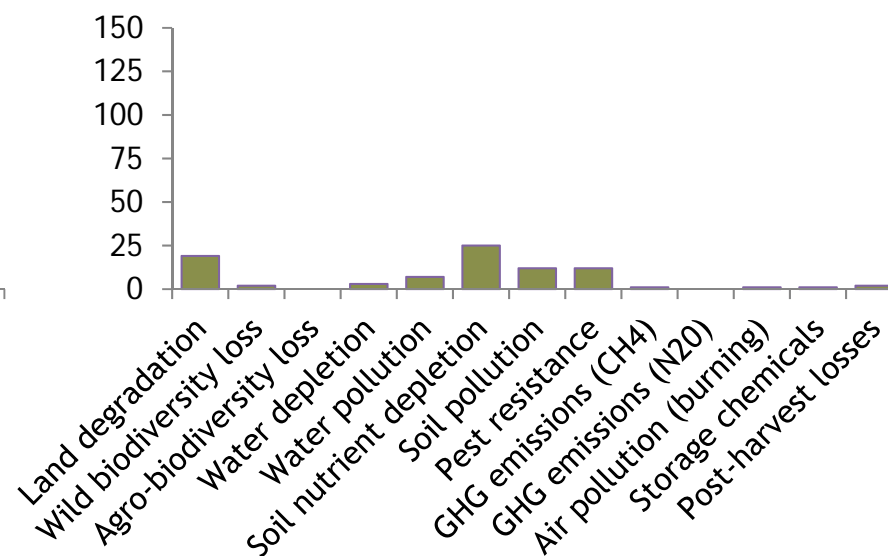
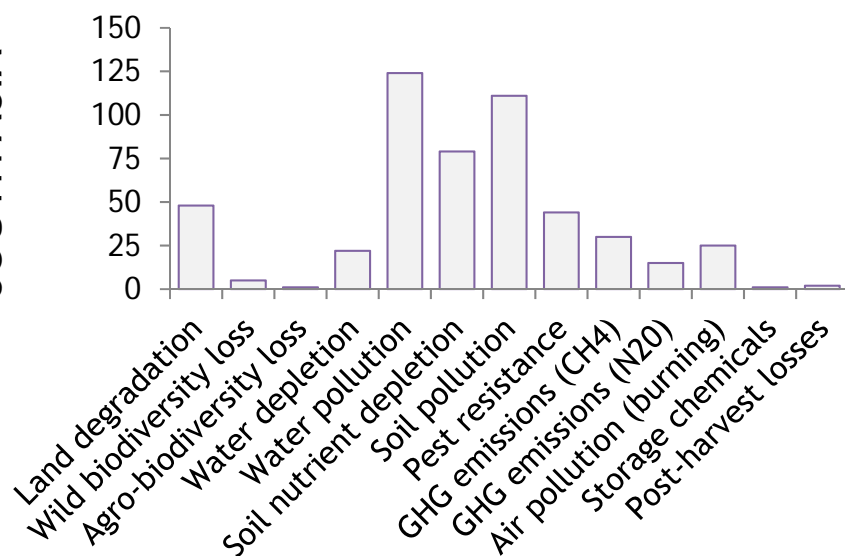
RICE



MAIZE

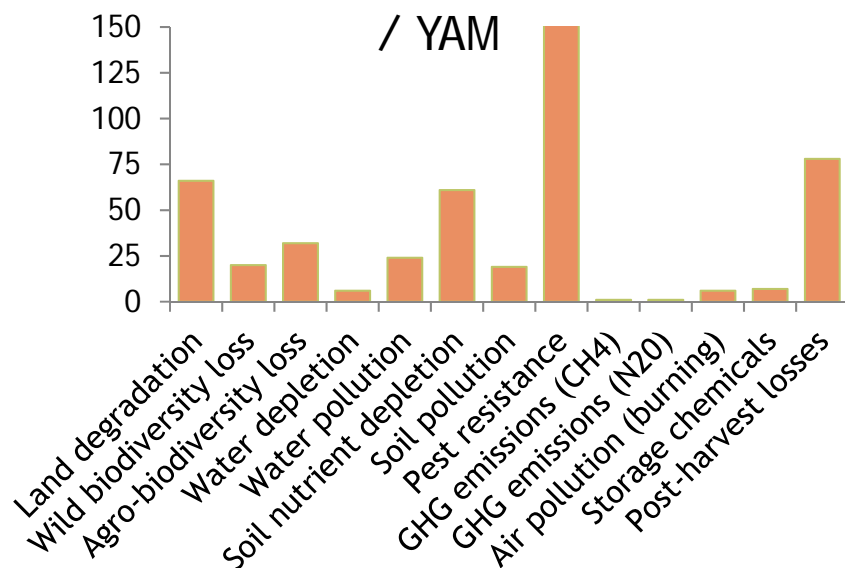


SOUTH ASIA

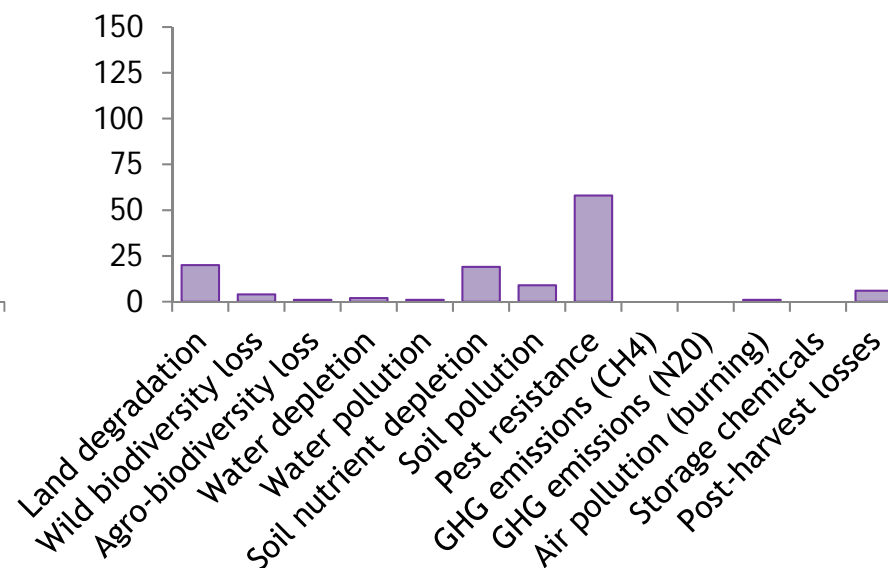


SUB SAHARAN AFRICA

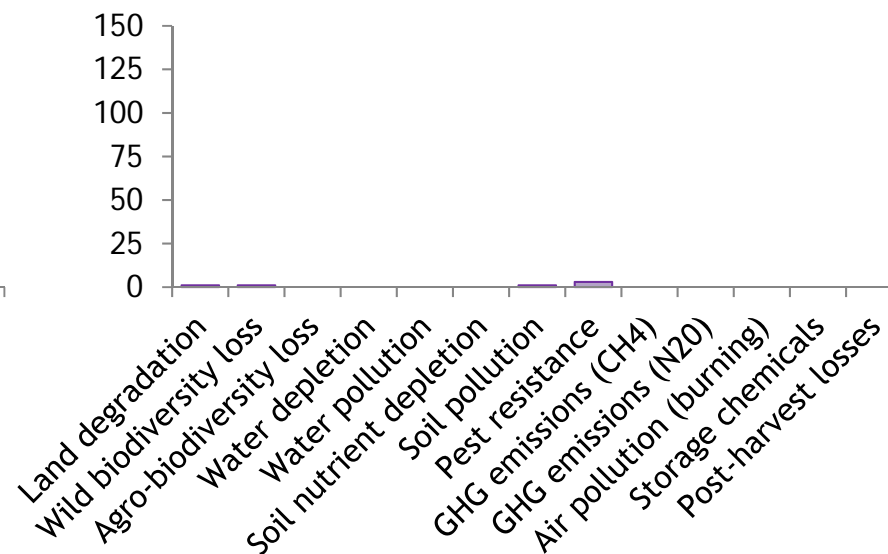
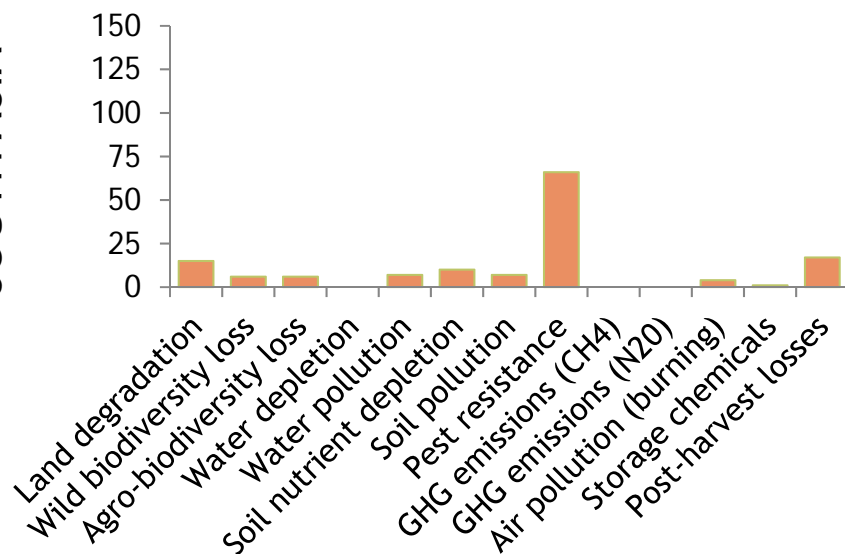
SWEETPOTATO / YAM



CASSAVA



SOUTH ASIA



Noteworthy Research Gaps

In Sub-Saharan Africa

- ❑ Little on agro-biodiversity loss, water depletion, air pollution, GHG emissions, and storage chemicals.
- ❑ Recent declines in sorghum/millet publications across topics.
- ❑ Cassava important, but little published literature available for most environmental impacts.
- ❑ Biodiversity loss for maize and cassava, and water depletion, GHG emissions and air pollution for all crops merit more attention.

In South Asia

- ❑ Few publications on biodiversity and storage chemicals, and water depletion and GHG emissions research almost exclusively for rice.
- ❑ Cassava of some importance in the south but almost no research on environmental issues, especially soil nutrients and water depletion.
- ❑ Maize increasingly important; need more environmental impact research in areas such as water depletion.
- ❑ Also may need additional attention to biodiversity in intensive systems.

Good Practices to Reduce Negative Crop-Environment Interactions

Maize intercropped with legumes such as cowpea



Improved processing of cassava roots



Sustainable Productivity Growth *for key crops (and cropping systems)*

Good Practices include:

- Crop choice & timing
- Abiotic & biotic stress tolerant varieties
- Rotations, intercroops & agroforestry
- Integrated nutrient management
- Water conservation & irrigation
- Integrated pest management
- Improved crop storage

Reduced
Environment
Constraints
to Crop
Production

Increased Environmental Services &
Less Environmental Degradation



Sustainable Productivity Growth *for key crops (and cropping systems)*

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- Water conservation & irrigation
- Integrated pest management
- Improved crop storage

Reduced
Environment
Constraints
to Crop
Production

Reduced
Impact of
Cropping on
Environment

Good Practices include:

- Good selection of ecology & fields
- Agroforestry/bush fallowing
- Minimal soil tillage
- Retention of residues
- Intercropping & rotation
- Some intensification
- Appropriate use of fertilizers & pesticides
- Better processing & storage

Increased Environmental Services &
Less Environmental Degradation

Key Take-Aways

- ❑ Smallholder farmers grow many crops in complex, overlapping systems
- ❑ No silver bullet:
 - ❑ Environmental interventions are highly context-specific
- ❑ Research is uneven across regions, crops, and farming systems, and some gaps remain

Thank you

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